

180
YEARS



Client Services contact details

Phone

Within Australia: 1300 997 774

International: +(61) 3 9616 8687

Send your form by email:

australianunitywealth_transactions@unitregistry.com.au

Email enquiries:

australianunitywealth@unitregistry.com.au

Change of name

Use this form if you are an existing Individual investor and wish to correct your name. Do not use this form if you wish to transfer your investment to someone else.

If you are not an Individual investor (e.g. if you are a Company or Trust/Trustee or other entity type) please contact us for information on how to update your investor account name.

To help assist you with filling out this form, please refer to the relevant Product Disclosure Statement (PDS) and Form Information Guide which can be accessed via our website www.australianunity.com.au/wealth

Complete all sections in block capitals and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

Your personal information will be collected, used and disclosed by us in accordance with our Privacy Policy and in accordance with the law. You can obtain a copy of our Privacy Policy via our website www.australianunity.com.au/privacy-policy or by telephone 1300 997 774 or +61 3 9616 8687.

1. Ensure that you have completed the following:

- fill in your Account number, Securityholder Reference Number (SRN) or Holder Identification Number (HIN) and account name as it appears on your latest statement
- completed and signed the name change section relevant to you.

2. Certify and provide the documents needed to identify and verify the name change.

Make copies of your change of name document(s) and arrange for them to be certified.

Certifying copies

You must have someone **certify the copies** you send to us. The following people can be the certifier:

- an officer with, or authorised representative of, a holder of an Australian financial services licence, having two or more continuous year of service with one or more licensees
- an officer with two or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993)
- a Justice of the Peace
- a Notary public (for the purposes of the Statutory Declaration Regulations 1993)
- an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership
- a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)
- a Judge of a court
- a magistrate
- a chief executive officer of a Commonwealth court
- a registrar or deputy registrar of a court
- a Police officer
- an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955).

What should the person certifying write?

'I certify this to be a true copy of [name of document] the original of which, was seen by me at the time of signing.'

The certified copy must also be dated, and have the signature, printed name, occupation, employer and address of the person certifying the document. Please note your identification document must be in date (or within two years of expiry for a passport).

Not in English?

Documents not in English must be accompanied by an English translation prepared by an accredited translator (visit www.austrac.gov.au/englishtranslation_policy.html and www.naati.com.au for more information).

3. Send your documents to us.

Before you submit your change of name form, please check that you have:

- signed the change of name form
- included the required change of name documents

Please send your **signed** change of name form and **certified copies** of the relevant change of name document(s) to us.

Send by post: Australian Unity
GPO Box 804
Melbourne VIC 3001

Scan and email to: australianunitywealth_transactions@unitregistry.com.au
Please include your account number in the subject line of your email

1 Investor details

Account number, Securityholder Reference Number (SRN) or Holder Identification Number (HIN)

Account name

The SRN/HIN can be found on the most recent holding statement. It's 11 characters long and usually starts with an "X" or "I".

2 Change of name

Please complete if you are changing the name of an individual or an individual trustee.

Note: If you wish to transfer ownership of the account to another person, you will need to complete a transfer form (available from australianunity.com.au) and the new account holder should complete a new application form (available with the current PDS).

Existing account name:

Title Full given names

Surname

New account name:

Title Full given names

Surname

Please supply the following supporting documents:

- a certified copy of driver's licence or current passport

And one of the following:

- a certified copy of Marriage Certificate
- a certified copy of Decree Absolute
- a certified copy of Change of Name Certificate

Anti-Money Laundering:

Anti-Money Laundering legislation has been introduced to help combat money-laundering and financing terrorism. As part of our ongoing compliance obligations, we may request additional information to verify identity of account holders.

3 Declaration and signing instructions

By completing and signing this form, you

- authorise us to act according with the instructions on this form
- acknowledge that the instructions on this form supersede all previous instructions received by us, and
- agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.
- acknowledge the Form Information Guide, accessed at <https://www.australianunity.com.au/wealth/form-information-guide>

I/We agree and acknowledge that:

- all details in this form are true and correct.
- my/our personal information will be collected, used and disclosed by Australian Unity in accordance with its Privacy Policy and in accordance with the law.
- entity Declaration (to be completed by an authorised representative of the entity, such as a Director or Trustee).

Who needs to sign this form

Individual – where the investment is in one name, the account holder must sign.

Joint Holding – where the investment is in more than one name, all of the account holders must sign.

Power of Attorney – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

In consideration of amending the register you must agree to indemnify and forever keep indemnified us (including our directors, offices and employees) from and against all losses, claims, actions, proceedings, demands, costs and expenses which may be made or brought against us by reason of compliance with your request.

Old signature

Please print full name

Date (DD/MM/YYYY)

New signature

Please print full name

Date (DD/MM/YYYY)