

Advice Fees Form

Please complete these instructions in **BLACK INK** using **CAPITAL LETTERS** (except for your email address) and **X** boxes where provided.

Investor advice fees – complete with your financial adviser (if applicable)

Facilitates the payment of investor advice fees (IAFs) by deducting them from your account and paying them to your financial adviser directly.

As issuer of IOOF WealthBuilder, is required to obtain specific written consent before a fee for financial advice can be deducted from your account. You are not under any obligation to consent to the fee being deducted.

You may alter your fees or revoke your consent at any time by contacting. Once your consent is revoked, no further fees will be deducted from your account, however any amount paid before you revoke your consent will not be automatically refunded.

I/we confirm that I/we have agreed with my/our financial adviser to have the following IAFs deducted:

IAF – upfront

% (maximum of 3.00% inclusive of GST)

To be applied to your initial contribution **only**.

Please complete **Standing IAF – upfront** if you would like to apply the same rate or to nominate a different rate to be applied to future additional contributions.

Standing IAF – upfront

For nomination of a standing IAF – upfront for future additional contributions.

% (maximum of 3.00% inclusive of GST)

To be applied to additional contributions received unless individually instructed otherwise on receipt of each contribution.

Your adviser has estimated a fee of \$

for the 12 months[^] commencing / / will be deducted from your account.

IAF – ongoing

either % pa (inclusive of GST) **OR** \$ (inclusive of GST)

Note: both % and \$ cannot be nominated.

To be deducted from my/our account monthly.

Commencing from* / /

*The date from which the IAF - ongoing will be calculated. The IAF – ongoing will generally be deducted on the first day of the month following the end of the nominated frequency.

Your adviser has estimated a fee of \$

for the 12 months[^] commencing / / will be deducted from your account.

Consent expiry date (required)

Where I have chosen IAF – standing upfront and/or IAF – ongoing, I understand the consent for to pay the ongoing fee to my adviser

will cease on / / which is 150 days after the anniversary date for an ongoing fee arrangement.

IAF – one-off

\$. (inclusive of GST)

to be deducted from my/our account on / /

*The date from which the IAF - ongoing will be calculated. The IAF – ongoing will generally be deducted on the first day of the month following the end of the nominated frequency.

^aor less if you have a fixed term advice agreement.

Please note:

- IAFs are not fees associated with. They are separately negotiated between you and your financial adviser for the provision of advice and services
- IAFs may not be deducted on the 1st of the nominated month in certain circumstances, for example, if there are insufficient funds where switches or withdrawals are pending.
- Asset (percentage) based IAFs are not permitted for accounts established with borrowed funds.
- Upon transfer of policy ownership, or a change of adviser, existing IAF arrangements will be cancelled and will need to be re-negotiated/agreed between the new policy owner/adviser.

Investor details

Account numbers

Individual/joint investors

Investor 1

Title	<div><div>X</div>Dr</div>	<div><div>X</div>Mr</div>	<div><div>X</div>Mrs</div>	<div><div>X</div>Ms</div>	<div><div>X</div>Miss</div>	Surname	
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Given name(s)	
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Investor 2

Title Dr Mr Mrs Ms Miss Surname

Given name(s)	
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Investor 3

Title Dr Mr Mrs Ms Miss Surname

Given name(s)	
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Companies, Trusts or Other investors

Name of
Company/Trust/
Other entity name

Investor declaration and signature(s)

- I authorise to charge the investor advice fee(s) selected against my account.
- The amount of any investor advice fee(s) that are paid to my financial adviser, or their Australian Financial Services Licensee (Licensee) as agreed by me, will be an additional cost to me and charged against my account. An advice fee will not be charged unless I tell to do so.
- Any agreed investor advice fee(s) will be charged to my account and paid in full to the financial adviser, or their Licensee, until I instruct to cease payment or when I change my nominated financial adviser.
- Where I have chosen ongoing fees, I understand the consent for to pay the ongoing fees to my adviser will cease on the consent end date, which is 150 days after the next anniversary date for my ongoing fee arrangement.
- I understand my consent for one-off fees will last until the one-off fee is paid.
- I understand I can withdraw my consent or vary the ongoing fee arrangement at any time by notice in writing to my adviser, or by contacting.
- I understand I can withdraw my consent at any time before the cost is passed on to me by contacting before the fee is paid.

Individual/joint investors

Investor/Signatory 1

Signature

X

Date

/ /

Title (if applicable)

Full name

Investor/Signatory 2 (for joint investors if applicable)

Signature

X

Date

/ /

Title (if applicable)

Full name

Investor/Signatory 3 (for joint investors if applicable)

Signature

X

Date

/ /

Title (if applicable)

Full name

Company/Trust/Other entity name

Signatory 1

Signature

X

Date

D

D

/

M

M

/

Y

Y

Y

Y

Title
(such as Director/Sole Director/
Company Secretary/ Trustee)

Full name

Signatory 2

Signature

X

Date

D

D

/

M

M

/

Y

Y

Y

Y

Title
(such as Director/Sole Director/
Company Secretary/ Trustee)

Full name

Signatory 3 (for additional Trustees if applicable)

Signature

X

Date

D

D

/

M

M

/

Y

Y

Y

Y

Title (if applicable)

Full name

Signatory 4 (for additional Trustees if applicable)

Signature

X

Date

D

D

/

M

M

/

Y

Y

Y

Y

Title (if applicable)

Full name

Common seal
(of company)
if required

Financial adviser details (financial adviser to complete)

We will only register a financial adviser who:

- holds a current Australian Financial Services (AFS) Licence
- is an authorised representative of a current AFS Licensee.

Financial adviser details

Dealer group			
License name			
Financial adviser name			
Financial adviser number		AFS licence number	
Phone		Mobile	
Email			

If you are a new financial adviser* please also complete the following details:

Business name					
Business address					
Suburb		State		Postcode	
Mailing address (if different from above)					
Suburb		State		Postcode	
Phone		Mobile			

*An email notifying you of your new financial adviser number will be forwarded to your office shortly after receipt of this application.

Declaration by financial adviser

In submitting this application form:

- I declare that I hold a current AFS Licence OR I am a representative or an authorised representative nominated to act on behalf of a holder of a current AFS Licence
- I confirm that investor advice fees have been fully explained to the investor(s) in relation to advice about their IOOF WealthBuilder account(s).

Adviser signature

X

D

D

/

M

M

/

Y

Y

Y

Y



Post to:

IOOF WealthBuilder, Reply Paid 264, Melbourne VIC 8060



Enquiries:

1800 002 217



Email:

investorservices@insigniafinancial.com.au