# Investor Identification Form



Trustees

## Australian Unity Investment Bonds

The information requested below is required under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML). The information collected will be used to verify your personal details. In accordance with the Anti-Money Laundering laws, if you do not provide the information or if we require additional information to be collected we will contact you for further information and we will:

- Delay the start of your investment/withdrawal of funds until we are satisfied with the information received, or
- Refund your contribution or refuse to withdraw funds from your investment.

## <u>One form is to be completed by each investor or by an adviser on the investor's behalf.</u>

Please phone the Investor Services Team on 1300 1300 38 if you have any questions.

Please PRINT clearly in BLACK or BLUE pen keeping well within the boxes. Use crosses in the boxes marked with an "X".

1A. Trust Informatio	on	
Trust name Full business name (if any) Country where trust was established		
1B: Type of Trust		
Registered Manageo	g. an SMSF) , APRA, ATO)	(Go to Part A of Step 2) (Go to Part A of Step 2) (Go to Part A of Step 2)
Legislation establish	ning the fund	
Market/Exchange na		(Go to Part A of Step 2)
	g. family, unit, charitable) ails (Only complete if 'Other Trust Type' is selected in Step 1B)	
Do not complete if the tru	ust is a registered managed investment scheme, regulated trust (eg. SMSF) or government superannuation	fund.
Do the terms of the trust i	identify the beneficiaries by reference to membership of a class?	
X Yes - please provide	e details of the membership class/es(eg. unit holders, family members of named person, charitable purpose).	

If you answered 'Yes' to the above question please proceed to Step 1D.

No How many benefi	ciaries are there?		
Beneficiary 1			
Title	Mr     Mrs     Ms     Miss     Other (please specify)		
Surname			
Given name(s)			
Beneficiary 2			
Title	Mr     Mrs     Ms     Miss     Other (please specify)		
Surname			
Given name(s)			
If there are more beneficia	ries, please provide details on a separate sheet.		
<b>1D: Trustee Details</b> (o	nly complete if 'Other Trust Type' is selected in Step 1B)		
Do not complete if the trus	t is a registered managed investment scheme, regulated trust (eg. SMSF) or government superannuation	ı fund.	
How many trustees are the	re? Please provide the full name of each Trustee below.		
Trustee 1			
Surname			
Given name(s)			
Residential address (not a PO Box)			
Suburb		State	
Postcode	Country		
Trustee 2			
Surname			

Sumanne	
Given name(s)	
Residential address (not a PO Box)	
Suburb	State
Postcode	Country
Trustee 3	

Surname			
Given name(s)			 
Residential address (not a PO Box)			 
Suburb		State	
Postcode	Country		 
Trustee 4			
Surname			
Given name(s)			 
Residential address (not a PO Box)			 
Suburb		State	
Postcode	Country		 

If there are more trustees, please provide details on a separate sheet.

#### 2: Trust Identification Procedures

If a registered managed investment scheme, regulated trust (eg. SMSF) or government superannuation fund is selected in step 1B, verify in Part A:

- The full name of the trust.
- That the trust is a registered managed investment scheme, regulated trust or government superannuation fund, as applicable.

#### Part A: Acceptable ID Documents (to verify the trust)

Select one of the following options used to verify the trust.

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	A search of the ASIC	AIO or relevant	regulator's website (	ea "Super Fund Looku	p" at www.abn.business.gov.au)

 $\langle$  | A copy or relevant extract of the legislation establishing the government superannuation fund sourced from a government website.

If other trust type is selected in step 1B, verify in Part B.

#### Part B: Acceptable ID Documents (to verify the trust)

Select one of the following options used to verify the trust.

- An original or certified copy or certified extract of the trust deed.
  - A notice issued by the Australian Taxation Office within the last 12 months (eg. a Notice of Assessment).

<sup>J</sup> Block out the TFN before scanning, copying or storing this document.

A letter from a solicitor or qualified accountant that confirms the name of the trust.

A Disclosure Certificate. Please contact Lifeplan Customer Services on 1300 1300 38 for details.

Documents that are written in a language that is not English, must be accompanied by an English translation prepared by an accredited translator.

#### 3. Trust Declaration

I/We have no reason to suspect that the contribution lodged with the application or any subsequent contributions is or will be derived from or related to any money laundering, terrorism financing or other illegal activities.

I confirm that an Australian Unity Investment Bonds Investor Identification for Individuals and Sole Traders form has also been completed for each Individual trustee listed.

I confirm that an Australian Unity Investment Bonds Investor Identification for Companies form has also been completed for each Australian Company trustee listed.

A certified copy of each document is to be attached to this completed form (do not send originals). Each page of a copy must be certified as a true copy. Please sign here confirming that all information being forwarded is correct.

#### Signature

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## Persons who may certify documents

A certified copy means a document that has been certified as a true copy of an original document by one of the following persons:

- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described).
- A judge of a court or a magistrate.
- A chief executive officer of a Commonwealth court.
- A registrar or deputy registrar of a court.
- A Justice of the Peace.
- A notary public (for the purposes of the Statutory Declaration Regulations 1993).
- A police officer.
- An agent of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public.
- An officer with 2 or more continuous years of service with one or more financial institution (for the purposes of the Statutory Declaration Regulations 1993).
- An officer with, or authorised representative of, a holder of an Australian financial services license, having 2 or more continuous years of service with one or more licensees.
- A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.

## The certifier must:

X	Write the following wording, or similar, on the copy of your documents:
	Example, for identity verification documents that contain a <u>photograph</u> of you "I certify that I have seen the original documentation and that the photograph is a true likeness and this copy is a complete and accurate copy of that original."
	Example, for other identity verification documents "I certify that I have seen the original documentation and this copy is a complete and accurate copy of that original."
$\times$	Sign and date each page of the photocopy.
X	Add their name in block capitals along with their position/ capacity, address and daytime contact telephone number (not a mobile phone). The certifier may be contacted by us.
X	Add the official stamp of their office, if possible.

OFFICE USE ONLY				
Document Details				
Original Viewed Certified Copy Viewed Attached Not Attached Performed Search				
Information	Document 1	Document 2 (if required)		
Document Issuer/Website				
Issued/Search Date				
Expiry Date				
Document Number				
English Translation Required	Sighted X Yes No	Sighted X Yes No		
Signed by				



## Return by post

(together with any identification documents where relevant)

Australian Unity, Reply Paid 89, Adelaide SA 5001 (no stamp required if mailed in Australia)

If posting from outside of Australia, please send to Head Office: 114 Albert Road, South Melbourne VIC 3205



Fax 1800 804 890

## Contact us

 $\bigcirc$ Australian Unity 114 Albert Road, South Melbourne VIC 3205



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#### **Investor Services**

🖂 enquiries@australianunity.com.au

- S) 1300 1300 38
- 1800 804 890

## **Adviser Services**

- (🖂) investmentbonds@australianunity.com.au
- $(\mathcal{S})$ 1300 133 285
- (🖨) 1800 804 890