

Application Form

1 July 2024

Australian Unity Select Income Fund

Contents

1. Providing your information	1	5. Applying for different types of investment accounts	7
2. Direct Debit Request Service Agreement	2	6. Application Form checklist	9
3. Anti-Money Laundering Laws and the Application Form	4	Application Form – Part A	12
4. Completing the Application Form	6	Application Form – Part B	24

Important information

This Application Form accompanies the Product Disclosure Statement (PDS) for the Australian Unity Select Income Fund, ARSN 091 886 789, (Select Income Fund or Fund). You must consider the PDS which contains important information about investing in this Fund before completing this Application Form. The PDS can be found via our website australianunity.com.au/wealth/sif/pds or a free paper copy is available by calling us on 13 29 39. A reference to 'you', or 'your', includes individuals or entities making an investment.

- reputable service providers who may carry out functions associated with our products and services on our behalf (e.g. mailing houses who conduct mailings for us);
- our Australian financial institution to initiate the drawing from or payment to your nominated Australian financial institution account (where you have selected the direct debit or credit facility); and
- a third party, as required by law.

You are entitled to access information we have about you. You should notify us immediately if any of the information we hold about you changes, so that we can ensure that your information is complete, accurate and up to date.

If you do not provide the information requested on the Application Form, we may be unable to process your application request.

Collecting your personal information

We collect and manage your personal information in accordance with the law and the Australian Unity Privacy Policy, which can be accessed from our website – australianunity.com.au/privacy-policy. If you have any concerns or questions about the privacy of your personal information please contact our Privacy Officer:

Email: investments@australianunity.com.au

Address: GPO Box 4360
Melbourne VIC 3001

If you are not satisfied with how your concern was addressed you may contact the Privacy Commissioner at:

Address: Office of the Australian Information Commissioner
GPO Box 5218
Sydney NSW 2001

Online: <https://www.oaic.gov.au/individuals/how-do-i-make-a-privacy-complaint>

1. Providing your information

Your personal information

We collect your personal information for the following purposes:

- to administer and provide products and services and to manage our relationship with you;
- to process transactions;
- to answer queries and for security purposes;
- to develop products and services;
- to meet regulatory requirements; and
- to allow the Australian Unity Group to market products and services to you (subject to your right to opt-out of receiving various direct marketing materials at any time).

In managing your account, we may need to disclose your personal information to:

- your financial adviser, either directly or through other service providers (such as platform software including Xplan and Visiplan) which we have arrangements with;

Changing your personal details

It is important that we maintain accurate records about you. Please inform us of any changes to your personal details as soon as possible.

You can change your personal details, such as mailing address, phone, mobile or email address, by:

- logging into your account on our website portal australianunity.com.au/wealth/login;
- sending us a request by mail;
- emailing us at investments@australianunity.com.au; or
- calling us on 13 29 39.

Please ensure that you provide us with the following information when requesting a change of personal details:

- your account number;
- the full name on your account;
- the change(s) you are requesting;
- a contact name and daytime phone or mobile number in case we need to contact you; and
- where the request is made by mail, ensure each signatory to the investment account signs the request.

Providing instructions via email

We offer an email service that allows you to send us instructions on your account (**Email Instructions Service**).

If you wish to give us instructions by email in relation to your account, (including for example additional investments, withdrawals, switches, change of details or transfer requests) then you must:

- 1) send the email to investments@australianunity.com.au or such other email address we may advise from time to time;
- 2) ensure that you attach a PDF document to your email that contains the instructions and is signed by all the authorised signatories to the investment and is dated correctly; and
- 3) include the name of all the investors, the customer reference number and sufficient information to enable us to complete the email instructions.

(Collectively referred to as **Email Instructions Requirements**).

Australian Unity may refuse to act on email instruction unless they meet the Email Instructions Requirements. In addition to the Email Instructions Requirements the following terms also apply to your use of the Email Instructions Service:

- (a) You use the service entirely at your own risk.
- (b) Australian Unity is not in any way liable for and will not compensate you for any losses arising as a result of your use of the Email Instructions Service, unless required by law.
- (c) Australian Unity is not in any way liable for any action taken by Australian Unity based upon any email instructions that are false, misleading, fraudulent or incorrect. This means that Australian Unity will not compensate you for any claim arising out of, for example, a fraudulent email redemption request made by someone who has access to your investor code and a copy of your signature.
- (d) Australian Unity is not in any way liable for any loss that may be incurred by you arising from an email being delayed or not being received by Australian Unity.

2. Direct Debit Request Service Agreement

This is your Direct Debit Request Service Agreement with Australian Unity Funds Management Limited (AUFM), User ID 253984, ABN 60 071 497 115. It explains what your obligations are when undertaking a Direct Debit arrangement with us. It also details what our obligations are to you as your Direct Debit provider.

Please keep this agreement for future reference. It forms part of the terms and conditions of your Direct Debit Request and should be read in conjunction with your Direct Debit Request authorisation. If you require a copy of a Direct Debit Service Agreement, please contact us (see below in the section 'Notice' for our mailing address).

The terms for this Direct Debit Service Agreement are for the purpose of an investment into the Australian Unity Select Income Fund by:

- 1) Initial investment by direct debit, or
- 2) Regular Savings Plan.

Definitions

account means the account held at your nominated Australian financial institution from which we are authorised to arrange for funds to be debited.

agreement means this Direct Debit Request Service Agreement between you and us.

business day means a day other than a Saturday or a Sunday or a public holiday listed throughout Australia.

debit day means the day that payment from you to us is due.

debit payment means a particular transaction where a debit is made.

Direct Debit Request means the written, verbal or online request between us and you to debit funds from your account.

our, us or we means *Australian Unity Funds Management Limited*, (the Debit User) you have authorised by requesting a Direct Debit Request.

PDS means the offer document to which this agreement is incorporated by reference and which sets out the terms of the offer of the fund.

fund means the *Australian Unity Select Income Fund* (ARSN 091 886 789).

you or your means the customer who has signed the Direct Debit Request.

your financial institution means the Australian financial institution as nominated by you on the Direct Debit Request at which the account is maintained.

Our commitment to you

- By signing a *Direct Debit Request*, you have authorised us to arrange for funds to be debited from your account. You should refer to the *Direct Debit Request* and this *agreement* for the terms of the arrangement between us and you.
- We will only arrange for funds to be debited from your account as authorised in the *Direct Debit Request*.
- Where you request a one off debit, the payment will be drawn from your nominated account after we accept your application.

- For regular debits, payment will normally start to be drawn from *your nominated account* from the 15th day of the month following the date we receive *your application* (e.g. for an application received on 1 March, the first deduction will occur on 15 March).
- Where the due date for a drawing falls on a non-business day, it will be drawn from *your account* on the next business day. If you are unsure about which day *your account* has or will be deducted you should ask *your financial institution*.
- We may vary any terms of this agreement or a *Direct Debit Request* at any time by giving you at least fourteen (14) days written notice sent to the preferred email/address you have given us in the *Direct Debit Request*.
- We will keep the details of *your nominated account* and *financial institution* private and confidential.
- We will investigate and deal promptly with any queries, claims or complaints regarding debits.

Your commitment to Australian Unity (us)

- It is *your responsibility* to check with *your nominated Australian financial institution* to confirm that direct debits are available on *your account* as direct debiting through the Bulk Electronic Clearing System (BECS) may not be available on all accounts.
- If there are insufficient clear funds in *your account* to meet a *debit payment*:
 - (a) you may be charged a fee and/or interest by *your financial institution*;
 - (b) we may charge you reasonable costs incurred by us on account of there being insufficient funds; and
 - (c) you must arrange for the *debit payment* to be made by another method or arrange for sufficient clear funds to be in *your account* by an agreed time so that we can process the *debit payment*.
- It is *your responsibility* to ensure that the authorisation at **Section 4** 'Direct Debit Request' of this Application Form matches the signing instructions on *your nominated Australian financial institution account*.
- It is *your responsibility* to ensure that there are sufficient cleared funds in the nominated *Australian financial institution account* to allow a *debit payment* to be made on the drawing date in accordance with the *Direct Debit Request*. We may reject *your application* if we are unable to draw funds from *your nominated Australian financial institution*.
- It is *your responsibility* to cover any charges resulting from the use of the direct debit program. This may include transaction fees charged by us or *your nominated Australian financial institution* due to dishonoured drawing.
- It is *your responsibility* to check *your account* details which you have provided to us are correct by checking them against a recent *account statement* from *your Australian financial institution*.
- It is *your responsibility* to check with *your financial institution* before completing **Section 4** 'Direct Debit Request' of this Application Form, if you have any queries about how to complete the *Direct Debit Request*.
- It is *your responsibility* to check *your account statement* to verify that the amounts debited from *your account* are correct.

Changes to the arrangement

If you want to make changes to the drawing arrangements, please notify us in writing (see below section 'Notice' for our mailing address), at least five (5) *business days* prior to the drawing date. You can also contact *your own financial institution*, which must act promptly on *your instructions*. These changes may include:

- deferring the drawing;
- altering the details of the drawing;
- stopping an individual debit; or
- cancelling the *Direct Debit Request* completely.

Enquiries

All *your personal customer information* held by us will remain confidential, except for information that may be provided to *our financial institution* to initiate the drawing to *your nominated account*, or information that may be disclosed to a third party as required by law. Information may also be provided to any entity within the Australian Unity Group to enable the *Direct Debit Request* to be effected as required by law.

Disputes

- If you believe there has been an error in debiting *your account*, you should notify us directly in writing by mail, emailing us at investments@australianunity.com.au or contacting us on 13 29 39 (see below section 'Notice' for our mailing address) and then confirm that notice in writing with us as soon as possible so that we can resolve *your query* more quickly.
- If we conclude, as a result of our investigations that *your account* has been incorrectly debited, we will respond to *your query* by arranging within a reasonable period for *your Australian financial institution* to adjust *your account* (including interest and charges) accordingly. We will also notify you in writing of the amount by which *your account* has been adjusted.
- If we conclude, as a result of our investigations that *your account* has not been incorrectly debited we will respond to *your query* by providing you reasons and any evidence for this finding in writing.
- If you do not receive a satisfactory response from us, then please follow up with *your nominated Australian financial institution* regarding *your claim*.
- You will receive a refund of the drawing amount if we cannot substantiate the reason for the drawing.

Confidentiality

- We will keep any information (including *your account details*) in *your Direct Debit Request* confidential. We will make reasonable efforts to keep any such information that we have about you secure and to ensure that any of our employees or agents who have access to information about you do not make any unauthorised use, modification, reproduction or disclosure of that information.
- We will only disclose information that we have about you:
 - (a) to the extent specifically required by law; or
 - (b) for the purposes of this agreement (including disclosing information in connection with any query or claim).

Notice

- If you wish to notify us in writing about anything relating to this *agreement*, you should write to:
GPO Box 4360
Melbourne VIC 3001
or email us at
investments@australianunity.com.au
- We will notify you by:
 - (a) sending a notice in the ordinary post to the address you have given us in this Application Form to the PDS;
or
 - (b) by sending a notice electronically to the email address you have given us in the Application Form to the PDS.
- Any notice received after 3:00pm in our Melbourne office on any Melbourne Business Day will be deemed to have been received on the next Melbourne Business Day.

3. Anti-Money Laundering Laws and the Application Form

Anti-Money laundering

Australia's Anti-Money Laundering and Counter Terrorism Financing ('AML/CTF') laws requires Australian Unity to adopt and maintain an AML/CTF program. To meet this legal requirement, we need to collect certain identification information and documentation (Know Your Client ('KYC') Documents) from investors.

- If you are applying through a financial adviser:
Your financial adviser will assist you in providing the necessary identification documents prior to lodging the application.
- If you are submitting your application directly (without the assistance of a financial adviser):
The required identification documents are set out under 'Applying for different types of investment accounts' on page 7 of this Application Form.

Please note that any certified identification documents must be certified by an approved certifier. See 'Certification of documents' on page 5 of this Application Form for more details. Please note your identification document must be in date (or within two years of expiry for a passport). Existing investors may also be asked to provide KYC Documents as part of a re-identification process to comply with the AML/CTF laws.

Processing of applications or withdrawals will be delayed or refused if investors do not provide the required KYC Documents when requested.

Under the AML/CTF laws, Australian Unity is required to submit regulatory reports to the Australian Transaction Reports and Analysis Centre (AUSTRAC). This may include the disclosure of your personal information. Australian Unity may not be able to tell you when this occurs. As a result, if instructed by AUSTRAC, Australian Unity may be required to deny you (on a temporary or permanent basis) access to your investment. This could result in loss of the capital invested, or you may experience significant delays when you wish to transact on your investment.

We are not liable for any loss you may suffer because of compliance with the AML/CTF laws.

Politically Exposed Persons (PEP)

To comply with AML/CTF laws we require you to disclose whether you (or any of your beneficial owners) are, or have an association with, a PEP. A PEP is an individual who holds a prominent public position or function in a government body or an international organisation in Australia or overseas, such as a Head of State, or Head of a Country or Government, or a Government Minister, or equivalent senior politician. A PEP can also be an immediate family member of a person referred to above, including spouse, de facto partner, child, and a child's spouse or a parent. A close associate of a PEP, i.e. any individual who is known to have joint beneficial ownership of a legal arrangement or entity is also considered to be a PEP. Where you identify as, or have an association with, a PEP, we may request additional information from you.

Source of investable assets/wealth

Under AML/CTF laws, we are required to identify your source of investable assets/wealth.

Non-individual entities – your beneficial owners

Your beneficial owner means an individual who ultimately 'controls' you or owns you. 'Control' includes control (directly or indirectly) as a result of, or by means of trusts (including in the capacity as beneficiary, trustee or settlor), an agreement, an understanding or practice, and further includes the ability to exercise control over decisions about financial and operating policies. 'Owns' means ownership (either directly or indirectly) of 25% or more of you.

Under AML/CTF laws you are required to provide information about your Beneficial Owners for certain account types including:

- Australian incorporated or created entities such as:
 - unlicensed proprietary limited companies, not subject to regulatory oversight (note: not applicable for complying Australian Superannuation Fund investors); or
 - partnerships; or
 - unregistered trusts, including family trusts.
- Entities incorporated or created outside of Australia such as:
 - companies;
 - partnerships;
 - trusts; or
 - estates.

These account types will be required to complete the Beneficial Owner Information Form, which is available on our website australianunity.com.au/wealth/BOIF or by calling our Investor Services team on 13 29 39.

Automatic Exchange of Information (AEOI) regime

AEOI is the exchange of financial account information between tax authorities in relevant countries. This requires financial institutions including Australian Unity, to collect tax residency information from their customers.

AEOI is currently made up of two information sharing frameworks:

- The Foreign Account Tax Compliance Act (FATCA) which is a US framework to identify US citizens and tax residents with accounts in foreign (non-US) jurisdictions. This has been in place since 1 July 2014; and
- The Common Reporting Standard under which details of foreign tax resident accounts are shared between participating governments. Phased implementation of this regime commenced 1 January 2016 globally, and commenced in Australia from 1 July 2017.

Common Reporting Standard (CRS)

CRS is a global standard for collecting, reporting and exchanging financial information on foreign tax residents, which was initiated by the Organisation for Economic Cooperation and Development (OECD) to provide greater transparency and reduce offshore tax evasion in over 100 participating countries and jurisdictions.

In accordance with CRS, banks and other financial institutions in Australia are required to collect financial account information from their customers who are resident in countries other than Australia for tax purposes, and provide financial information to the Australian Tax Office where it will be exchanged with other participating countries. These changes are a legislative requirement, therefore must be complied with.

You must complete the Tax Residency Information Form (along with your Application Form) if the following is applicable:

- Your country of residence for tax purposes is outside of Australia, or you are a tax resident of multiple countries; or
- You are (or you are applying on behalf of) a 'non-individual' account type investing in this Fund (other than a complying Australian Superannuation Fund) and you are a tax resident of a country other than Australia; or
- Your Company/Regulated Trust/Unregulated Trust/Estate/Sole Trader/Partnership – Corporate has a Controlling Person¹ that is a tax resident of a country other than Australia.

The Tax Residency Information Form is available from our website (australianunity.com.au/wealth/TRIF). Your Application Form cannot be processed until you have provided a completed Tax Residency Information Form (if applicable).

1. A Controlling Person is an individual who directly or indirectly exercises control over the Entity.

For a company, this includes any beneficial owners controlling more than 25% of the shares in the company.

For a Trust this includes:

- Trustees;
- Settlers; or
- Beneficiaries.

For a partnership this includes:

- All partners.

Where there are no natural persons that control the Entity through ownership, the controlling person(s) may be a natural person(s) who could be a senior managing official responsible for strategic and/or financial decisions.

Submitting your application without the assistance of a financial adviser

If you are submitting the Application Form directly, without having consulted with a financial adviser, you must include the relevant identification documents when you submit your Application Form. The required identification documents are set out under 'Applying for different types of investment accounts' of this Application Form.

Important note: Please take your time to complete the Application Form, ensuring that all of the required information (for example, AML/KYC and CRS requirements) is included. If your application does not meet the requirements and we need to contact you for further information, this may result in your application being delayed.

Certification of documents

Where your identification documents need to be certified, we suggest that the person certifying the document(s) for you uses the following statement on the copy being certified:

'I certify this to be a true copy of [name of document] the original of which, was seen by me at the time of signing'.

The certified copy must also be dated, and have the signature, printed name, occupation, employer and address of the person certifying the document. Please note your identification document must be in date (or within two years of expiry for a passport).

Persons who may certify copies of original identification documents are:

- Officer with or authorised representative by an Australian Financial Services licence holder, with two or more years of continuous service with one or more licensees.
- Chartered Accountant, CPA or member of the National Institute of Accountants with two or more years of continuous membership.
- Officer of a financial institution or finance company with two or more years of continuous service, with one or more institutions or companies.
- Permanent employee of the Australian Postal Corporation with two or more years of continuous service, or someone who operates as an agent of the Australian Postal Corporation.
- Police Officer.
- A person who, under the law in force in a state or territory, is currently licensed or registered to practice as a: chiropractor, dentist, legal practitioner, medical practitioner, nurse, optometrist, pharmacist, physiotherapist, psychologist or veterinary surgeon.
- Lawyer, Magistrate, Registrar of a Court, Justice of the Peace.
- Notary Public (including persons authorised as a notary public in a foreign country).

A complete list of persons who may certify documents can be obtained from our website australianunity.com.au/wealth/ACL or by contacting us on 13 29 39.

4. Completing the Application Form

The following information is provided to assist you in completing and lodging the Application Form.

- You may type your details into the Application Form; or use a blue or black pen.
- Print in **BLOCK** letters inside the boxes.
- Answer all sections unless otherwise indicated (if a section does not apply, please indicate using 'N/A').
- Ensure each signatory to the investment account signs the Application Form.
- Complete the Direct Debit Request in Section 4.
- Scan and email, or mail your Application Form, relevant identification documents (where required) and direct debit instructions to our mailing address (see 'Lodgement').

Providing your Tax File Number (TFN)

You may decide whether you wish to provide your TFN on the Application Form. If we do not receive your TFN, ABN or appropriate exemption information, we must withhold tax at the highest marginal tax rate (plus levies) from distributions paid to you.

Signing the Application Form

Read the declaration section carefully before signing the Application Form. Each signatory to the investment account must sign the Application Form(s).

If signed under Power of Attorney, the Attorney must certify that they have not received notice of revocation of the Power. We require an original OR a certified copy OR a copy of a certified copy of the Power of Attorney for our reference.

Company applications must be signed in accordance with the constitution or rules of the company.

Lodgement

By email

investments@australianunity.com.au

By post

Australian Unity Wealth & Capital Markets
GPO Box 4360
Melbourne VIC 3001

Identification documents:

If you are submitting this Application Form directly, without having consulted with a financial adviser, you must include the relevant identification documents when you submit your Application Form. The required identification documents are set out under 'Applying for different types of investment accounts' on page 7 of this Application Form.

Your identification documents can be sent to us by the following means:

By email:

- A scanned copy of a certified copy; and/or
- A scanned copy of a certified extract.

By post:

- An original; and/or
- A certified copy; and/or
- A certified extract.

Any application received after 3:00pm in our Melbourne office on any Melbourne Business Day will be deemed to have been received on the next Melbourne Business Day.

Incomplete applications

Please take your time to complete the Application Form, ensuring that all of the required information is included, or we may not be able to process your application.

If we do not receive sufficient information from you, we will contact you to request the necessary information. Any delay in providing the necessary information may result in your application being delayed.

We reserve the right to decline your application if your application does not meet our AML/KYC requirements. We recommend you carefully complete the Application Form and use the 'Completing the Application Form' instructions, the 'Application Form checklist', and 'Applying for different types of investment accounts' to ensure that you provide all of the required information. If you require assistance with completing the Application Form, please call us on 13 29 39.

If your application is incomplete and/or if the additional information is not received after 30 business days, we may return the application and your application monies to you.

5. Applying for different types of investment accounts

The following identification documents are required if you are submitting your application directly, without the assistance of a financial adviser.

Please Note:

- You may be required to complete the 'Beneficial Owner Information' Form. See page 4 for information about 'Non-individual entities – your beneficial owners'.
- If you have sent us originals of your relevant identification documents, we will take a copy of the document and certify that is an original copy. After which we will post the original document(s) back to the mailing address you have provided in your Application Form.
- Certified copies of your relevant identification document(s) must not be more than 12 months old.

Type of investor	Your account must be in the name of	ABN, TFN(s) or exceptions to be submitted	Signature(s) required	Identification documents required (in support of the Application Form)
Individual account	The applicant e.g. Jane Citizen	The applicant	The applicant	<p>An original OR a certified copy OR a copy of a certified copy of any of the following that identify your (the applicant's) full name and either date of birth and/or residential address:</p> <ul style="list-style-type: none"> Driver Licence; or Passport containing photo identification. <p>Applicable to foreign individual accounts only:</p> <ul style="list-style-type: none"> In addition to the identification documents outlined above, a foreign individual who wishes to establish a relationship must also provide evidence of a current visa with working rights.
Joint account	Both or all joint applicants e.g. Jane Citizen and John Citizen	Each applicant's	All joint applicants'	
Partnership	Registered business name of partnership (if applicable) or full name of the partnership	The partnership's	As required under the partnership agreement	<p>Please provide at least one of the following three documents:</p> <ul style="list-style-type: none"> An original OR a certified copy OR a copy of a certified copy OR a certified extract OR a copy of a certified extract of a partnership agreement; and/or An original OR a certified copy OR a copy of a certified copy OR a certified extract OR a copy of a certified extract of minutes of meeting; and/or A relevant ASIC company search/extract showing registered business name, number and address. This document should also list the full name of each Partner (not required if the regulated status of the partnership is confirmed by referring to a current membership directory of the relevant professional association). <p>If the Partner is a company, please provide the same type of information requested for a Company account. For each Director and/or company secretary, please provide the same type of information requested for an Individual account.</p> <p>If the Partnership is not regulated by a professional association, for each Partner please provide:</p> <ol style="list-style-type: none"> the same type of information requested for an Individual account (e.g. full name, date of birth and residential address); the respective share of the partner in the partnership; and the business activity of the partnership. <p>If the Partnership is regulated by a professional association, identification documents are only required for a minimum of one Partner. Please provide the same type of information requested for an Individual account. Please also provide:</p> <ol style="list-style-type: none"> the name of the professional association; and relevant membership details (e.g. account name and membership number).
Company	The name of the company e.g. Sample Company Pty Ltd	The company's	Two directors; or a director and a company secretary or if there is only one director, by that director	<p>Please provide at least one of the following two documents:</p> <ul style="list-style-type: none"> An original OR a certified copy OR a copy of a certified copy of the Certificate of Registration/Incorporation (or similar) document confirming the company's name, registered business number and whether the company is a public or proprietary company; and/or An original OR a certified copy OR a copy of a certified copy OR a certified extract OR a copy of a certified extract of minutes of meeting. <p>For each director and/or company secretary, please provide the same type of information requested for an Individual account.</p> <p>Note: Where the company is an Australian listed public company, a majority owned subsidiary of an Australian listed public company or is licensed and subject to Commonwealth, state or territory regulatory oversight in relation to its activities as a company, Company documents are not required (unless in circumstances where the documents are not publicly available for us to verify).</p>

Type of investor	Your account must be in the name of	ABN, TFN(s) or exceptions to be submitted	Signature(s) required	Identification documents required (in support of the Application Form)
Australian Superannuation Fund/ Self-managed super fund (SMSF)	The trustee(s) of the Australian Superannuation Fund/SMSF and not the name of the Australian Superannuation Fund/SMSF	The Australian Superannuation Fund/SMSF	The trustee(s), 'as trustee(s) for...'	<p>Identification documents are only required for one Trustee. Please provide the same information and identification documents requested for an Individual account. If you wish to add any additional trustees to your account, you are required to provide identification documents for each additional individual trustee.</p> <p>For Corporate Trustees, please provide the same type of documents and information requested for a Company. For Directors, please provide the same type of documents and information requested for an Individual account.</p> <p>In certain circumstances, we may require a copy of your SMSF trust deed (if applicable).</p>
Regulated Trust	The trustee(s) of the Trust and not the name of the Trust e.g. ABC Trustees ATF Jane Citizen Trust	The Trust	The trustee(s), 'as trustee(s) for...'	<p>Please provide information that establishes the Trust is either:</p> <ul style="list-style-type: none"> • An ASIC registered managed investment scheme – ARSN; • A managed investment scheme not registered by ASIC - ABN; • Government superannuation fund that has been established by Commonwealth legislation; or • Regulated by a Commonwealth State or Territory regulated in relation to its trust activities. <p>Identification documents are only required for one Trustee. Please provide the same information and identification documents requested for an Individual account. If you wish to add any additional trustees to your account, you are required to provide identification documents and information for each additional individual trustee.</p> <p>For Corporate Trustees please provide the same identification documents and information requested for a Company.</p>
Unregulated Trust	The trustee(s) of the Trust or Trust e.g. ABC Trustees ATF for the Citizen Family Trust or Citizen Family Trust	N/A	The trustee(s), 'as trustee(s) for...'	<ul style="list-style-type: none"> • You do not need to provide identification documents for the beneficiary/beneficiaries of the Trust, however you do need to provide their details in Part B of the Application Form. • An original OR a certified copy OR a copy of a certified copy of the first page and schedule of the Trust Deed. • For each trustee, please provide the same type of information for an Individual account. <p>For Corporate Trustees, please provide the same identification documents and information requested for a Company.</p> <p>For Directors, please provide the same type of documents and information requested for an Individual account.</p> <p>For Settlor of the unregulated trust please provide full name and identification documents as requested for an Individual account unless:</p> <ol style="list-style-type: none"> the material asset contribution by the settlor at the time the trust is established is less than \$10,000; or the settlor is deceased.
Estate	The executor(s) of the estate e.g. Estate of the late Jane Citizen.	The deceased person's	The executor(s) or administrator(s)	<ul style="list-style-type: none"> • An original OR a certified copy OR a copy of a certified copy of the grant of probate or Letters of Administration; or • Death Certificate. <p>For each executor, please provide the same identification documents and information requested for an Individual account.</p>
Minor (a person under 18 years of age)	The adult's name in trust for the minor's name e.g. Jane Citizen ITF John Citizen Jr.	All adults	All adults investing on behalf of the minor	<ul style="list-style-type: none"> • An original OR a certified copy OR a copy of a certified copy of birth certificate or birth extract of the minor; or • A notice that has been issued by a school principal within the preceding three months that contains the name of minor, the minor's residential address and the period of time the minor has attended the school; or • An original OR a certified copy OR a copy of a certified copy of a Medicare card if the minor does not attend school. <p>For adults investing on behalf of the minor, please provide the same identification documents and information requested for an Individual account.</p>

6. Application Form checklist

Ensure you complete the relevant section according to your investor type outlined in the table below:

Investor type	Application Form (Part A)	Application Form (Part B)
Individual	Complete Section 1–7	Not Applicable.
Joint	Complete Section 1–7	Not Applicable.
Partnership - Individual	Complete Section 1–7	Not Applicable.
Partnership - Corporate	Complete Section 1–7	Complete relevant sections
Company	Complete Section 1–7	Complete relevant sections
Australian Superannuation Fund/SMSF/Other Regulated Trust	Complete Section 1–7	Complete relevant sections
Regulated/Unregulated trust	Complete Section 1–7	Complete relevant sections
Estates – Individual Executor	Complete Section 1–7	Complete relevant sections
Estates – Corporate Executor	Complete Section 1–7	Complete relevant sections
Other applicant types	Please contact us on 13 29 39 for assistance	

To ensure that we are able to process your Application Form quickly and efficiently, please 'X' that you have completed all of the following:

Step 1 Account details

- ☐ Indicate whether you are a new investor or if you have an existing active investor number (mandatory). Please note that if you have an existing investment in the Fund and an existing active investor number with us, you are not required to supply the required identification documents.

Section 2 Investor details

- ☐ Indicate your investment type and provide your personal details (mandatory).

Section 3 Account contact details

- ☐ Provide only if different from your residential/registered business address.
- **Statements** – Indicate if you wish to receive an email alert to notify you when your statements are available.
 - **Annual Report** – Indicate if you would like to receive an email alert to notify you when the latest Annual Report is available.

Section 4 Investment details

- ☐ Indicate the amount you wish to invest (mandatory) and provide details for your Direct Debit Request instructions.

Section 5 Payments from your investment

- ☐ Indicate your preferred method of payment for distribution.
- ☐ Complete this section if you opt for a one-off advice fee to be paid to your financial adviser.

Section 6 Adviser arrangements

- ☐ This section is to be completed by your financial adviser. If you are not using the services of a financial adviser, please leave blank.

Section 7 Declarations and investor signature(s) (mandatory)

- ☐ **Individual investors** – Provide your signature and date the Form.
- ☐ **Joint investors** – Provide both signatures and date the Form.
- ☐ **Partnership** – Provide the signatures and date the Form.
- ☐ **Corporate partnership** – Provide the signatures of two

directors (or a director and a company secretary); or if there is only one director, by that director and date the Form.

Ensure you also complete the relevant sections in **Part B**.

- ☐ **Company** – Provide the signatures of two directors (or a director and a company secretary); or if there is only one director, by that director and date the Form. Ensure you also complete the relevant sections in **Part B**.

- ☐ **Australian Superannuation Fund/SMSF/Other Regulated Trust** – Provide the signatures of the trustees and date the Form. Ensure you also complete the relevant sections in **Part B**.

- ☐ **Regulated/Unregulated Trust** – Provide the signatures of the trustees and date the Form. Ensure you also complete the relevant sections in **Part B**.

- ☐ **Estate** – Provide the signature of the executor and date the Form. Ensure you also complete the relevant sections in **Part B**.

- ☐ **Power of Attorney** – Provide your signature and date the Form. Attach a certified copy of the Power of Attorney. A Justice of the Peace, Solicitor or Notary Public must certify the Power of Attorney. You also need to provide the same type of information requested for an Individual account as it relates to the Attorney named in the application. If the Power of Attorney document does not contain a sample of the Attorney's signature, please provide an original OR a certified copy OR a copy of a certified copy of identification documents for the Attorney, containing a sample of their signature, e.g. Driver Licence or passport containing photo identification.

- ☐ **Your Beneficial Owners** – Certain types of entities may also be required to provide information and identification documents in relation to your Beneficial Owners. You will be required to complete the 'Beneficial Owner Information' Form. See 'Non-individual entities – your beneficial owners' on page 4 for more information.

- ☐ **Common Reporting Standard** – You may be required to complete the Tax Residency Information Form together with your Application Form. See 'Automatic Exchange of Information' and 'Common Reporting standards' on page 4 and 5 respectively. **Your investment cannot be issued to you until you have provided a completed Tax Residency Information Form (if applicable).**

Target Market Determination questionnaire

The following questions assist Australian Unity Funds Management Limited (AUFM) in meeting its regulatory obligations by enabling it to assess whether the Australian Unity Select Income Fund is being offered to the stated target market.

SECTION 1 Investor contact details

(Mandatory fields are marked*)

Full name*

DOB* / / Phone*

Email address*

Are you an existing investor in the Fund, or have you applied for an interest in the Fund previously? ☐

SECTION 2 Financial advice

Have you received current personal financial advice in relation to this application to the Select Income Fund?

☐ **Yes** – Please provide your adviser's details and when that advice was provided: / /

☐ **No** – Please continue to Section 3.

(Mandatory fields are marked*)

Adviser name*

Adviser email*

Adviser phone* Adviser code

Adviser address

SECTION 3 Investor status

Please select one of the following options:

☐ I am/We are not a Wholesale Client with a Wholesale Certificate. **Please complete Section 4 below.**

☐ I am/We are a Wholesale Client and will provide a copy of my/our Wholesale Certificate with my/our application. You do not need to complete Section 4.

Date of certificate / /

Attach an accountant's certified prepared [wholesale certificate](#), prepared in accordance with Section 761G of the Corporations (the certificate must have been signed less than 2 years from the date of this application).

MORE INFORMATION

What is a wholesale client?

A wholesale client is defined in the Corporations Act and includes an investor with total investable assets of greater than \$2,500,000 or an annual taxable income of \$250,000 or greater (as certified by a qualified accountant).

[> Current AU Wholesale certificate template](#)

SECTION 4 Target Market Determination Questionnaire

1. Before making any decision about investing in the Fund, you should read the Product Disclosure Statement (PDS) carefully in its entirety and consider consulting with a financial adviser and/or tax adviser.

Have you read the PDS?

☐ Yes

☐ No

2. What is your investment objective in applying to invest in the Fund? (tick one option only)

☐ Income distributions

OR

☐ Capital growth

MORE INFORMATION

What is an income distribution?

An income distribution is the periodic payment of income from the Fund's assets to its investors.

What is capital growth?

Capital growth in an investment is the increase in value of an investment over time.

3. What percentage of your total investable assets, excluding your residential home, do you intend to allocate to the Select Income Fund in total as part of your diversified portfolio of investable assets?

Please enter a percentage up to 100%: %

MORE INFORMATION

What are investable assets?

Investable assets are the financial resources you have available for investment purposes, excluding your primary residence and the money you need for daily living expenses; for example, bills, and mortgage payments.

What is diversification?

Diversification is typically achieved by spreading your investable assets across different asset classes and sectors. The aim of diversification is typically to lower your overall portfolio's risk, in some cases by limiting exposure to the performance of any individual investment type, and achieving more stable returns. It is important to consider diversification when building an investment portfolio.

4. Which of the following best describes your tolerance for loss of your capital and/or interest as it relates to your total investment in the SIF (having regard to your response to the question immediately above):

☐ **Low** – For my/our investment in the SIF, I/we do not want to risk losing any capital or interest payments.

☐ **Medium** – For my/our investment in the SIF, I/we accept that I/we could lose some capital and/or not receive some interest payments.

☐ **High** – For my/our investment in the SIF, I/we accept that I/we could lose all my/our capital and/or not receive some or all interest payments.

MORE INFORMATION

What is capital loss?

Capital loss in an investment is the decrease in value of an investment over time.

What is interest payment loss?

Interest payment loss is the delay or cessation of the periodic payments of interest from the Fund's assets to its investors.

5. For your investment in the Fund, are you seeking accelerated returns? (tick one option only)

☐ Yes
☐ No

MORE INFORMATION

What are accelerated returns?

Accelerated returns are very high returns that may be generated by investing in more speculative and complex asset classes.

6. What is your intended timeframe for investing in the Select Income Fund?

(number) ☐ Months OR ☐ Years

7. The investment term for Syndicate-Fund loans are generally for a fixed period. Once your money is allocated to a Syndicate Fund(s), you will not have access to your money at least until the end of the term. Would you be able to meet your ongoing financial obligations and commitments if you are not able to access your money until after the end of the loan term(s)?

☐ Yes
☐ No

MORE INFORMATION

What is a SIF Syndicate-Fund?

Each Syndicate-Fund is a separate trust which offers investors direct exposure to a single registered first mortgage loan that the investor has selected exposure to. The investment outcomes, including the rate of return, duration of investment and capital security of funds invested are isolated to each Syndicate-Fund. Interests in each Syndicate-Fund are issued via their own Supplementary Product Disclosure Statement (SPDS) which sets out the particulars of the loan, including the applicable loan term and interest rate. More information regarding each Syndicate-Fund is available at our website australianunity.com.au/wealth/sif

How do you access a Syndicate-Fund?

When you invest in SIF, you are initially issued an interest in the Cash Account. Once you are invested in the Cash Account, you can choose to invest in a Syndicate-Fund. The details of each Syndicate-Fund are provided to you in a Supplementary Product Disclosure Statement. Refer to the PDS for more information as to the process for your allocation to a Syndicate-Fund depending on whether you invest under a Specific Investment Authority or General Investment Authority.

8. The investment term of a Syndicate-Fund may be extended if a borrower is late in repaying a loan. Would you be able to meet your ongoing financial obligations and commitments if you are not able to access your money where the loan is extended in such circumstances?

☐ Yes
☐ No

9. While we select and manage Syndicate-Fund loans carefully, like any loan the borrower attached to a loan could default on their payment obligations and be unable to repay some or all of the amount owed under the loan. If this occurs, you may lose some or, in an extreme circumstance, all of your investment held in that specific Syndicate-Fund. Would you be able to meet your ongoing financial obligations and commitments if you were to lose some or all of your money invested in a Syndicate-Fund(s)?

☐ Yes
☐ No

MORE INFORMATION

What is a borrower default?

A borrower default means a breach by the borrower of the transaction documents associated with the Syndicate-Fund, including a failure to repay some or all of the loan.

10. There are circumstances where a Syndicate-Fund(s) may not be able to distribute interest to investors. For example, if a borrower is in default or fails to make a repayment, your interest payments may be suspended, or may not be paid at all. If the interest payments are suspended, or not paid at all, would you be able to meet your ongoing financial obligations and commitments?

☐ Yes

☐ No

11. Withdrawal requests from the Fund are paid from the Cash Account (as opposed to a Syndicate-Fund(s)) and are generally paid within two business days of receipt of the withdrawal request. However, under the Fund's Constitution we have 90 days from the receipt of the request to satisfy these requests. Would you be able to meet your ongoing financial obligations and commitments without urgent access to your Cash Account holdings?

☐ Yes

☐ No

MORE INFORMATION

What is the Cash Account?

The Cash Account is not an at call account, and capital cannot be accessed on an urgent basis. The purpose of the Cash Account is intended to be an access point to the SIF's Syndicate-Funds. In order to access a Syndicate-Fund, you must first invest in the Cash Account. Conversely, when a Syndicate-Fund matures, capital is returned to the Cash Account.

☐ By completing and submitting this Target Market Determination Questionnaire I/We warrant and confirm to AUFM that:

- a. all of the responses and information I/we have provided in this Target Market Determination Questionnaire (including any supporting documentation I/we have provided) are true, correct and complete;
- b. I/we have received, read and understood the PDS.

I/We acknowledge and agree in favour of AUFM that:

- a. nothing in this form constitutes advice to me/us from AUFM and 'help' is for general information purposes only;
- b. AUFM recommends that I/we obtain appropriate professional advice in respect of our investment in the Fund and our completion of the Target Market Determination Questionnaire;
- c. if I/we are a wholesale client I/we must provide AUFM with a valid wholesale certificate, and provide AUFM with a renewed valid wholesale certificate upon its expiry. A template wholesale certificate for the Select Income Fund is available by emailing our dedicated Investor Services team at investorservices@australianunity.com.au.

Application Form Part A

1 July 2024

Australian Unity Select Income Fund (ARSN: 091 886 789)

This application is for new and additional investments.

Please:

- You may type your details into the Application Form; or use **BLOCK** letters and a black or blue pen to complete this Application Form.
- Indicate using an 'X' where appropriate. If a section does not apply to you, please indicate using 'N/A'.
- For further information on completing the Application Form please refer to 'Application Form Checklist' on page 9.
- Refer to page 4 for the definition of a Politically Exposed Person.
- You may also be required to provide information and identification documents in relation to your Beneficial Owners and Common Reporting Standard requirements.** Refer to 'Non individual entities - your beneficial owners' and 'Automatic Exchange of Information' (AEOI) on page 4 to assess whether you are required to include a Beneficial Owner Information Form and/or Tax Residency Information Form with your Application Form.
- For SMSF and regulated trusts, a minimum of only one trustee details is required.

Office use only

Section 1 Account details

New investor: Are you a new investor with Australian Unity Wealth?

☐ Yes – Go to **Section 2** and complete all details ☐ No – See below

Existing investor: Is this investment to be in the same name?

☐ No – Go to **Section 2** and complete all details ☐ Yes – Please specify your existing account number, account name and TFN

Existing account number

Existing account name

Existing Investor TFN or TFN exemption*

*If exempt, please specify reason. If due to pension or allowance, please state full name of benefit (e.g. Age Pension)

If any of your details have changed please provide them below, otherwise go to **Section 4**

Section 2 Investor details

What type of investment are you opening? (Please indicate using an 'X')

<input type="checkbox"/> Company	<input type="checkbox"/> Australian Superannuation Fund/SMSF
<input type="checkbox"/> Partnership – Corporate	<input type="checkbox"/> Estate
<input type="checkbox"/> Regulated/Unregulated Trust	<input type="checkbox"/> Sole trader
<input type="checkbox"/> Individual/Joint	<input type="checkbox"/> Other (please specify)

Please complete all sections below that are relevant to your investor type. Note that all fields that apply to your account type are mandatory. Please note: **If you wish to invest for an Association, Co-Operative or Government Body**, please call us on 13 29 39 for details of the additional information we may require from you. **If there are more than two partners in the Partnership**, please call us on 13 29 39.

Investor 1 (Individual/Joint/Partnership/Sole Trader)

Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss	Date of birth	<input type="text"/>
Surname	<input type="text"/>		
Given name(s)	<input type="text"/>		
Email	<input type="text"/>		
Phone (after hours)	<input type="text"/>	Phone (business hours)	<input type="text"/>
Mobile	<input type="text"/>		
Occupation	<input type="text"/>		
TFN	<input type="text"/>	or TFN exemption*	<input type="text"/>

* If exempt, please specify reason. If due to pension or allowance, please state full name of benefit (e.g. Age Pension)

Tax Residency rules differ by country. Whether you are a tax resident of a particular country is often (but not always) based on the amount of time you spend in a country, the location of your residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If you have questions on how to define your tax residency status, please visit the OECD website (oecd.org) or speak to a professional tax adviser as we are not allowed to give tax advice.

Answer **both** tax residency questions:

Are you a tax resident of Australia? ☐ Yes ☐ No

Are you a tax resident in a country other than Australia? ☐ Yes ☐ No

Tax regulations require Australian Unity to establish the tax residency of investors. **Therefore if you answered 'Yes' to being a tax resident of a country other than Australia, you *must* complete the Tax Residency Information Form available at australianunity.com.au/wealth/TRIF and submit it with your application. Your Application Form cannot be processed until you have provided a completed Tax Residency Information Form.**

Are you a Politically Exposed Person? ☐ Yes ☐ No

Please identify the source of your investable assets or wealth

<input type="checkbox"/> Gainful employment	<input type="checkbox"/> Inheritance/gift	<input type="checkbox"/> Financial investments
<input type="checkbox"/> Business activity	<input type="checkbox"/> Superannuation savings	<input type="checkbox"/> Other – please specify

Investor 1 Residential address (not a P.O. Box)

Unit	<input type="text"/>	Street number	<input type="text"/>
Street name	<input type="text"/>		
Suburb	<input type="text"/>	State	<input type="text"/>
Postcode	<input type="text"/>	Country (if not Australia)	<input type="text"/>

Investor 2 (Individual/Joint/Partnership/Sole Trader)

Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss	Date of birth	<input type="text"/>
Surname	<input type="text"/>		
Given name(s)	<input type="text"/>		
Email	<input type="text"/>		
Phone (after hours)	<input type="text"/>	Phone (business hours)	<input type="text"/>
Mobile	<input type="text"/>		

--	--	--	--	--	--	--	--

* If exempt, please specify reason. If due to pension or allowance, please state full name of benefit (e.g. Age Pension)

Tax Residency rules differ by country. Whether you are a tax resident of a particular country is often (but not always) based on the amount of time you spend in a country, the location of your residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If you have questions on how to define your tax residency status, please visit the OECD website ([oecd.org](https://www.oecd.org)) or speak to a professional tax adviser as we are not allowed to give tax advice.

Answer **both** tax residency questions:

☐ Yes ☐ No

☐ Yes ☐ No

Tax regulations require Australian Unity to establish the tax residency of investors. **Therefore if you answered 'Yes' to being a tax resident of a country other than Australia, you *must* complete the Tax Residency Information Form available at australianunity.com.au/wealth/TRIF and submit it with your application. Your Application Form cannot be processed until you have provided a completed Tax Residency Information Form.**

☐ Yes ☐ No

☐ Gainful employment

☐ Superannuation savings

☐ Other – please specify

☐ Business activity

☐ Superannuation savings

☐ Other – please specify

Investor 2 Residential address (not a P.O. Box)

--	--	--	--

--	--	--	--	--

10/10

Minor (Child under the age of 18 years)

Note: For adults investing on behalf of the minor the same identification documents and information is required as an **Individual account**.

☐ Mr ☐ Mrs ☐ Ms ☐ Miss

--	--	--	--	--	--	--	--

- -

			-				-				
--	--	--	---	--	--	--	---	--	--	--	--

				-			-			
--	--	--	--	---	--	--	---	--	--	--

Tax Residency rules differ by country. Whether you are a tax resident of a particular country is often (but not always) based on the amount of time you spend in a country, the location of your residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If you have questions on how to define your tax residency status, please visit the OECD website ([oecd.org](https://www.oecd.org)) or speak to a professional tax adviser as we are not allowed to give tax advice.

Answer **both** tax residency questions:

☐ Yes ☐ No

☐ Yes ☐ No

Tax regulations require Australian Unity to establish the tax residency of investors. **Therefore if you answered 'Yes' to being a tax resident of a country other than Australia, you *must* complete the Tax Residency Information Form available at australianunity.com.au/wealth/TRIF and submit it with your application. Your Application Form cannot be processed until you have provided a completed Tax Residency Information Form.**

Is the minor a Politically Exposed Person? ☐ Yes ☐ No

Please identify the minor's source of investable assets or wealth

<input type="checkbox"/> Gainful employment	<input type="checkbox"/> Inheritance/gift	<input type="checkbox"/> Financial investments
<input type="checkbox"/> Business activity	<input type="checkbox"/> Superannuation savings	<input type="checkbox"/> Other – please specify

Minor Residential address (not a P.O. Box)

Unit Street number

Street name

Suburb State

Australian Superannuation Fund/SMSF/Other Regulated Trust

Name of entity

ARBN. or ABN or ARSN

TFN or TFN exemption*

* If exempt, please specify reason.

Australian Superannuation Fund/SMSF details

Are you a complying Australian Superannuation Fund/SMSF, an exempt public sector superannuation scheme, an approved deposit fund or a pooled superannuation trust?

Please refer to superfundlookup.gov.au to confirm the complying status of your Australian Superannuation Fund/SMSF.

- ☐ Yes - You will also need to complete Trustee details in Part B of this Application Form. You are required to provide a minimum of one trustee detail.
- ☐ No – You will need to complete the Tax Residency Information Form.

Government Superannuation Fund details

Provide name of the legislation establishing the fund

You will also need to complete the Trustee details in Part B of this Application Form.

Other regulated Trust details

(a trust that is subject to the regulatory oversight of a Commonwealth, State or Territory statutory regulator such as an approved deposit fund, a pooled superannuation trust or an APRA-regulated super fund)

Provide name of the regulator (e.g. ASIC, APRA, ATO).

Provide name of the legislation establishing the trust

Provide the Trust's ABN or registration/licensing details

Country of incorporation or creation

You will also need to complete the Trustee details in Part B of this Application Form.

Australian Superannuation Fund/SMSF/Other Regulated Trust's other details

Please identify the source of your investable assets or wealth

☐ Gainful employment

☐ Inheritance/gift

☐ Financial investments

☐ Business activity

☐ Superannuation savings

☐ Other – please specify

Nature of business (if applicable)

Tax Residency rules differ by country. Whether you are a tax resident of a particular country is often (but not always) based on the amount of time you spend in a country, the location of your residency or place of work. For US, tax residency can be as a result of citizenship or residency.

If you have questions on how to define your tax residency status, please visit the OECD website ([oecd.org](https://www.oecd.org)) or speak to a professional tax adviser as we are not allowed to give advice.

Answer **all** three residency questions and tick **all** boxes that apply.

A) Are you a tax resident of Australia?

☐ Yes

☐ No

B) Are you a tax resident in a country other than Australia?

☐ Yes

☐ No

C) Are any Controlling Persons* tax residents of a country other than Australia?

☐ Yes

☐ No

* A Controlling Person is an individual who directly or indirectly exercises control over the Entity. For a company, this includes any beneficial owners controlling more than 25% of the shares in the company. For a Trust this includes Trustees, Settlers or Beneficiaries. For a partnership this includes any partners. Where there are no natural persons that control the Entity through ownership, the controlling person(s) may be a natural person(s) who could be a senior managing official.

If you answered 'Yes' to questions B or C above, you must complete the Tax Residency Information Form available at australianunity.com.au/wealth/BOIF and submit it with your application. Your Application Form cannot be processed until you have provided a completed Tax Residency Information Form.

Australian Superannuation Fund/SMSF/Other Regulated Trust's contact details

Contact person

Email

Phone (after hours)

Phone (business hours)

Mobile

Australian Superannuation Fund/SMSF/Other Regulated Trust's registered business address (not a P.O. Box)

Unit

Street number

Street name

Suburb

State

Postcode

Country (if not Australia)

Australian Superannuation Fund/SMSF/Other Regulated Trust's principle place of business in Australia (if any)

Unit

Street number

Street name

Suburb

State

Postcode

Custodian details (if applicable)

Please provide if your investment is held via a custodian.

Name of Custodian or Trustee

Contact person

Email	<input type="text"/>			
Phone (after hours)	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Phone (business hours)	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Mobile	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			

Company/Regulated Trust/Unregulated Trust/Estate/Sole Trader/Partnership – Corporate

If you are applying as a Partnership – Corporate, please call us on 13 29 39 before you complete this section.

Name of entity	<input type="text"/>			
ARBN or ABN	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			
TFN	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	or TFN exemption*	<input type="text"/>	
	* If exempt, please specify reason.			
Country of incorporation	<input type="text"/>			
Type of Trust (if applicable)	<input type="text"/>			
Name of Custodian/ Trustee/Executor (if applicable)	<input type="text"/>			
Contact person	<input type="text"/>			
Email	<input type="text"/>			
Phone (after hours)	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Phone (business hours)	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Mobile	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			
Please identify the source of your investable assets or wealth	<input type="checkbox"/> Gainful employment	<input type="checkbox"/> Inheritance/gift	<input type="checkbox"/> Financial investments	
	<input type="checkbox"/> Business activity	<input type="checkbox"/> Superannuation savings	<input type="checkbox"/> Other – please specify	<input type="text"/>
Nature of business (if applicable)	<input type="text"/>			

Tax Residency rules differ by country. Whether you are a tax resident of a particular country is often (but not always) based on the amount of time you spend in a country, the location of your residency or place of work. For US, tax residency can be as a result of citizenship or residency.

If you have questions on how to define your tax residency status, please visit the OECD website (oecd.org) or speak to a professional tax adviser as we are not allowed to give advice.

Answer **all** three residency questions and tick **all** boxes that apply.

- | | | |
|--|------------------------------|-----------------------------|
| A) Are you a tax resident of Australia? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| B) Are you a tax resident in a country other than Australia? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| C) Are any Controlling Persons* tax residents of a country other than Australia? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

* A Controlling Person is an individual who directly or indirectly exercises control over the Entity. For a company, this includes any beneficial owners controlling more than 25% of the shares in the company. For a Trust this includes Trustees, Settlers or Beneficiaries. For a partnership this includes any partners. Where there are no natural persons that control the Entity through ownership, the controlling person(s) may be a natural person(s) who could be a senior managing official.

If you answered 'Yes' to questions B or C above, you must complete the Tax Residency Information Form available at australianunity.com.au/wealth/BOIF and submit it with your application. Your Application Form cannot be processed until you have provided a completed Tax Residency Information Form.

Registered business address (not a P.O. Box)

Unit	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Street number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Street name	<input type="text"/>		
Suburb	<input type="text"/>	State	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Country (if not Australia)	<input type="text"/>

Company's/Regulated Trust/Unregulated Trust /Estate/Sole Trader/Partnership – Corporate principle place of business in Australia (if any or if not the same as above address):

Unit	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Street number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Street name	<input type="text"/>		
Suburb	<input type="text"/>	State	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		

Section 3 Account contact details

Please provide the contact details for your all correspondence for this account

- ☐ Same as Investor 1 contact person and residential/business address **or**
- ☐ Same as Investor 2 contact person and residential/business address
- ☐ Same as Australian Superannuation Fund/SMSF/Other Regulated Trust contact person and registered business address provided.
- ☐ Same as Company/Regulated Trust/Unregulated Trust/Estate/Sole Trader/Partnership – Corporate contact person and registered business address provided.

Otherwise complete mailing address details below:

Unit	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Street number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	P.O. Box	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Street name	<input type="text"/>				
Suburb	<input type="text"/>	State	<input type="text"/> <input type="text"/> <input type="text"/>		
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Country (if not Australia)	<input type="text"/>		
Contact name	<input type="text"/>				
Phone (after hours)	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Phone (business hours)	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Mobile	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>				
Email	<input type="text"/>				

(Please add one email address only to receive email alerts)

Statements

Your tax and distribution statements can be accessed by logging into your account on our website portal australianunity.com.au/wealth/login.

To access your statements online and receive an **email alert** to notify you when your statements are available, please indicate using an 'X' ☐

Please note, your statements will be sent to the email address details you have provided in this Section of the Application Form. Alternatively, if you wish to receive a printed copy of your statement, please call us on 13 29 39.

Annual Report

The Annual Report is available from our website at australianunity.com.au/wealth/

If you wish to receive an email notification when the latest Annual Report is available, please indicate using an 'X'. ☐

Please note, the Annual Report will be sent to the email address details you have provided in this Section of the Application Form. Alternatively, if you wish to receive a paper copy of the Annual Report free of charge, please call us on 13 29 39.

Section 4 Investment details

Please specify the amount that you wish to invest. The minimum initial investment is \$5,000. The minimum additional investment is \$1,000. The minimum for a Regular Savings Plan is \$100 per month (e.g. \$300 per quarter).

Fund Name	APIR	Initial or additional investments	Regular Savings Plan	Frequency* (M, Q, H or Y)
Select Income Fund	AUS0083AU	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>

*If you would like to commence a Regular Savings Plan, please indicate your desired frequency (M = monthly, Q = quarterly, H = Half Yearly and Y = Yearly)

Investment type

Please specify the appropriate option:

- ☐ General Investment Authority (allows the Responsible Entity to select which first mortgage loan your funds will be applied to).
By ticking this option you provide an authority to the Responsible Entity without any recourse to the Responsible Entity for the selection of the first mortgage loan(s) (Syndicate-Fund(s)).

Please indicate your preferred maximum allocation to any one first mortgage loan (Syndicate-Fund):

- ☐ \$10,000 ☐ Other, please specify \$_____.
- ☐ \$20,000 ☐ Not applicable
- ☐ \$50,000

- ☐ Specific Investment Authority (I/We wish to select which first mortgage loan(s) my/our funds are applied to).

Direct Debit Request

The terms of this Direct Debit are for the purpose of an investment into the Australian Unity Select Income Fund by an initial investment or Regular Savings Plan. If you would like us to deduct your investment directly from your nominated Australian financial institution account or you have selected the Regular Savings Plan, please complete the section below.

- ☐ Initial investment by direct debit to be deducted from my account at time of application.
- ☐ Regular Savings Plan direct debits to commence from / /

Continuing until:

(Please select one option below)

- ☐ Date: / / OR ☐ Cancellation

- Note:**
- Quarterly debits will only occur in the months of March, June, September and December.
 - Half yearly debits will only occur in the months of June and December.
 - Yearly debits will only occur in June.

Providing your nominated Australian financial institution details below means that you authorise the use of this information for all future deposit transaction requests that you initiate.

Name of Australian financial institution	<input type="text"/>
Branch name	<input type="text"/>
Name of account holder(s)	<input type="text"/>
Branch number (BSB)	<input type="text"/> - <input type="text"/>
Account number	<input type="text"/>

Confirmation

- I/we request and authorise Australian Unity Funds Management Limited (Australian Unity) ABN 60 071 497 115, User ID 253984 to debit funds through the Bulk Electronic Clearing System (BECS) according to the details specified above from my/our nominated Australian financial institution account and will be subject to the terms and conditions of the *Direct Debit Service Agreement*.
- I/we are authorised to operate my/our nominated Australian financial institution account.
- I/we have read, understood and agree to the terms and conditions set out in this Request and in the *Direct Debit Request Service Agreement* in the PDS dated 24 May 2023.
- I/we acknowledge and agree this Direct Debit arrangement is governed by the *Direct Debit Request Service Agreement*.
- I/we agree to indemnify Australian Unity against all losses, costs, damages and liability (including, without limitation, legal costs and expenses on a full indemnity basis) that Australian Unity may suffer as a result of my/our breach of the *Direct Debit Request Service Agreement*, or providing an invalid or non-binding direct debit request or Australian Unity otherwise acting upon any unauthorised direct debit request. This indemnity is a continuing obligation, separate and independent from other obligations and survives termination of this agreement. It is not necessary for Australian Unity to incur expenses or make payment before enforcing this right of indemnity. I/we agree to pay Australian Unity all or any sum due without deduction or set-off. This indemnity does not apply to the extent of any fraud, negligence or breach of trust by Australian Unity.
- I/we acknowledge and agree that by signing and/or providing Australian Unity with a valid instruction in respect to my/our Direct Debit Request, I/we confirm that I/we have understood and agreed to the terms and conditions governing the debit arrangements between myself/us and Australian Unity as set out in this Direct Debit Request and in my/our *Direct Debit Request Service Agreement*.

All account signatories must sign below.

Signature of Australian financial institution account holder or company officer

X

Investor 1 Surname

Investor 1 Given name(s)

Company position (if applicable)

Date

By signing and/or providing us with a valid instruction in respect to your Direct Debit Request, you have understood and agreed to the terms and conditions governing the debit arrangements between you and Australian Unity as set out in this request and in your *Direct Debit Service Agreement*.

Signature of Australian financial institution account holder or company officer

X

Investor 2 Surname

Investor 2 Given name(s)

Company position (if applicable)

Date

Section 5 Payments from your investment

Distributions

How would you like your distributions to be paid?

- ☐ Reinvested (interest invested into the Australian Unity Select Income Fund's Cash Account)
- ☐ Credited to my nominated Australian financial institution account.

If left blank or no banking details are provided below, then your distributions will automatically be reinvested.

Your Australian financial institution account details (for distributions and withdrawals)

You must be named on the Australian financial institution account for a payment to be made into that nominated account.

- ☐ Use banking details previously provided in **Section 4**.

OR

- ☐ Use Australian financial institution account details provided below:

Providing your nominated Australian financial institution account details means that you authorise the use of this information for all future payment transaction requests that you initiate.

Name of Australian financial institution

Branch name

Name of account holder(s)

Branch number (BSB)

Account number

Section 6 Appointing a representative

Do you wish to appoint a representative on this account?

- ☐ Yes, I wish to appoint a representative to operate on this account.
If so, please ensure that you have read Section 7 carefully, and in particular the conditions relating to the appointment of a representative.
- ☐ No.
If not, please proceed to Section 7.

Representative/advisor details

If you are an existing financial adviser with us, please provide your name, Australian Unity adviser code and affix your adviser stamp below. Otherwise, please complete all details below.

Representative's business name	<input type="text"/>		
Representative's title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss		
Representative's surname	<input type="text"/>		
Representative's given name(s)	<input type="text"/>		
Telephone	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Mobile	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Email	<input type="text"/>		
Dealer Group/Licensee	<input type="text"/>		
AU Adviser Code	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	AFS Licence No	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Representative's mailing address

Unit	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Street number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	P.O. Box	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Street name	<input type="text"/>				
Suburb	<input type="text"/>			State	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Country (if not Australia)	<input type="text"/>		
Adviser stamp	<div><input type="text"/></div>				

Investor identity verification declaration

I certify in accordance with the FSC/FPA Industry Guidance Note 24 ('GN 24'), that I have:
(Mark the appropriate box with an 'X'.)

- ☐ 1. Collected, verified and retained the appropriate customer identification documentation to confirm the identify of all individuals/investors with this application to meet my obligations in respect of the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 ('AML/CTF laws') and agree to provide access to these records as required.
- OR
- ☐ 2. Attached a copy of the industry 'Customer Identification Form' which confirms that I have sighted and verified all of the documentation required to identify a customer under AML/CTF laws.
- OR
- ☐ 3. Attached a copy of the source documents from which I have identified the customer as required by the AML/CTF laws.

If no box is marked, I agree that Option 1 applies.

In addition, as the Investor's financial adviser, I warrant and represent to AUFM that I, as applicable:

- have followed and complied with GN 24 and any other applicable AML/CTF laws in identifying and verifying all individuals/investors with this application;
- will continue to comply with GN 24;
- will provide, upon request, original identification and verification records and details of the identification procedures adopted;
- have kept a record of the investor's identification and verification and will retain these for a period of seven years after the investor/adviser relationship has ended;
- will if requested update and re-verify the investor and provide any

Adviser signature

X

Date / /

other additional information regarding the investor;

- will not knowingly do anything to cause AUFM to breach AML/CTF laws and will notify AUFM if I become aware of anything that would cause AUFM to breach AML/CTF laws; and
- confirm that the details provided in any identification and verification records are true and correct.

Please note, AUFM reserves the right to reject any applicable GN 24 form attached to this Application Form for whatever reason (for example, if it has been incorrectly completed).

Section 7 Declarations and investor signature(s)

I/We agree and acknowledge:

- to hereby apply for the registration in the Australian Unity Select Income Fund ('Fund')
- to be bound by the terms and conditions of the Australian Unity Select Income Fund Product Disclosure Statement (PDS) dated 24 May 2023; the Application Form and in the Fund Constitution (as amended from time to time);
- to be bound by the terms and conditions of the 'Direct Debit Request Service Agreement' in this Application Form, where I/we have opted to use the Direct Debit Service;
- that if I/we have selected a General Investment Authority that this authorises the Responsible Entity to make allocations on my/our behalf to particular first mortgage loan(s)/Syndicate-Fund(s) and that I/we have no recourse against the Responsible Entity for making that selection.
- that none of the entities mentioned throughout the PDS and the Application Form guarantee the performance of the Fund; payment of interest or any return of capital;
- that monies invested in the Fund do not represent investments in Australian Unity Property Limited nor in any member of the Australian Unity Group of companies;
- that the investment is subject to investment risk including possible delays in repayment, loss of income or principal invested;
- having read and understood the PDS dated 24 May 2023 and the Application Form, and where these documents have been obtained through electronic means, then I/we declare that I/we received a printout which comprised the whole PDS and Application Form before making an application for investment in the Fund;
- prior to settlement and following maturity of a first mortgage loan, my/our investment monies may be held in the Fund's Cash Account for myself/ourselves;
- that Australian Unity Limited and its related bodies corporate may offer goods and services appropriate for my needs and interests. I/ We consent to my information being disclosed between those entities and to its use for direct marketing (subject to my/our right of opt-out by calling 13 29 39, product management and development and for other related purposes;
- that Australian Unity Funds Management Limited may give information relating to my/our account and investment in that account to my/our adviser whose stamp appears on the Application Form;
- that if this application is signed under a Power of Attorney, the Attorney verifies that no notice of revocation of that power has been received at the date of completing this application;
- for all account types other than individual and joint accounts, that the application is signed in accordance with the governing rules and/or constituent documents;
- that all of the information provided in this Application Form is complete and accurate to the best of my/our ability/abilities;
- that I/we will provide any supporting or additional information which might be required in order to process this application, or subsequently, as a consequence of my holding interests in the Fund;
- that I/we have no reason to suspect that the monies used to fund this application, or any subsequent contributions into the Fund; is or will be derived from or related to any money laundering, terrorism financing or other illegal activities;
- if I am/we are a resident of a country, other than Australia for tax purposes I/we will submit the completed Tax Residency Information Form in order to comply with AEOI obligations. I/we understand that my Application Form cannot be processed until I/we have provided a completed Tax Residency Information Form, and that penalties may apply (under local and other laws) if I/we provide false or misleading information;
- if I/we are (or if this application is on behalf of) a 'non-individual' account type, other than a complying Australian Superannuation Fund, then if applicable I/we will submit the completed Tax Residency Information Form in order to comply with AEOI obligations. I/we understand that my/our Application Form cannot be processed until I/we have provided a completed Tax Residency Information Form, and that penalties may apply (under local and other laws) if I/we provide false or misleading information;
- if I am/we are a Controlling Person(s) that is a tax resident of a country other than Australia, I/we will submit the completed Tax Residency Information Form in order to comply with AEOI obligations. I/we understand that my/our Application Form cannot be processed until I/we have provided a completed Tax Residency Information Form, and that penalties may apply (under local and other laws) if I/we provide false or misleading information.
- that by providing my/our email address at Section 2, the Responsible Entity may use this address to provide me/us, where permitted by law or regulation, with information via email about my/our investment and the Fund, including to satisfy any continuous disclosure requirements;
- that my/our personal information will be collected, used and disclosed by Australian Unity in accordance with its Privacy Policy which can be accessed at australianunity.com.au/privacy-policy and in accordance with the law; and
- I/we confirm the information provided in this application form is correct and I/we will inform you within 30 days if there are any changes in circumstances. I/we understand that penalties may apply for providing false information.

Who signs below?

If the account is held for one or more individuals	THEN	those individuals sign.
If the account is held for a partnership	THEN	all partners or those authorised to sign on behalf of the partnership.
If the account is held for a company or corporate partnership or corporate trustee	THEN	two directors; or a director and a company secretary; or if there is a single director, by that director. By signing as a single director you confirm that your company is a single director company.
If the account is held for an Australian Superannuation Fund/SMSF or Regulated or unregulated trust	THEN	the trustee(s), 'as trustee(s) for...'

Investor 1

Capacity	<input type="checkbox"/> Individual	<input type="checkbox"/> Joint	<input type="checkbox"/> Director	<input type="checkbox"/> Partner	<input type="checkbox"/> Trustee	<input type="checkbox"/> Other	<input type="text"/>
Surname	<input type="text"/>						
Given name(s)	<input type="text"/>						
Investor signature	<input type="text" value="X"/>			Common Seal (if applicable)		<input type="text"/>	
Date	<input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>						

Investor 2

Capacity	<input type="checkbox"/> Individual	<input type="checkbox"/> Joint	<input type="checkbox"/> Director	<input type="checkbox"/> Partner	<input type="checkbox"/> Trustee	<input type="checkbox"/> Other	<input type="text"/>
Surname	<input type="text"/>						
Given name(s)	<input type="text"/>						
Investor signature	<input type="text" value="X"/>			Common Seal (if applicable)		<input type="text"/>	
Date	<input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>						

Submitting your Application Form

- Corporate - partnership, company, Australian Superannuation Fund/SMSF/Other regulated trust/Regulated/Unregulated trust, unincorporated body, estate or sole trader applications please also complete **Part B**.
- You may also be required to provide information and identification documents in relation to your Beneficial Owners and Common Reporting Standard requirements. Refer to 'Non-individual entities - your beneficial owner' and 'Automatic Exchange of Information' (AEOI) on page 4 to assess whether you are required to include a Beneficial Owner Information Form and/or Tax Residency Information Form with your Application Form.
- Sign the Application Form.
- Where identity verification as required by Anti-Money Laundering Legislation has not been undertaken by a financial adviser, please enclose the relevant identification documentation outlined in the **'Applying for different types of investment accounts' on page 7 of this Application Form**.
- Complete the Direct Debit details in **Section 4**.
- Please send the completed application with your Direct Debit Request instructions and identification documentation (if relevant) to:

By email
investments@australianunity.com.au

By post
Australian Unity Wealth & Capital Markets
GPO Box 4360
Melbourne VIC 3001

Your **identification documents** can be sent to us by the following means:

By email:

 - A scanned copy of a certified copy; and/or
 - A scanned copy of a certified extract.

By post:

 - An original; and/or
 - A certified copy; and/or
 - A certified extract.

Application Form Part B

1 July 2024

Australian Unity Select Income Fund (ARSN: 091 886 789)

Additional information required for special account types

Please:

- Complete all relevant sections below as incomplete applications may not be accepted. Ensure that you also complete all relevant sections in **Part A**.
- You may type your details into the Application Form; or use **BLOCK** letters and a black or blue pen to complete this Application Form.
- Indicate using an 'X' where appropriate. If a section does not apply to you, please indicate using 'N/A'.
- Refer to page 4 for the definition of a 'Politically Exposed Person'.
- For further information on completing the Application Form please refer to 'Application Form Checklist' on page 9.
- **You may also be required to provide information and identification documents in relation to your Beneficial Owners and Common Reporting Standard requirements.** Refer to 'Non individual entities - your beneficial owners' and 'Automatic Exchange of Information' (AEOI) on page 4 to assess whether you are required to include a Beneficial Owner Information Form and/or Tax Residency Information Form with your Application Form.
- For SMSF and regulated trusts, a minimum of only one trustee details is required.

Office use only

Account details

What type of investment account are you opening? (Please indicate using an 'X'.)

Please also complete the sections below that are relevant to your investor type.

Note that all fields that apply to your account type are mandatory.

- ☐ Company ☐ Australian Superannuation Fund/SMSF/Other regulated trust ☐ Regulated/Unregulated Trust
- ☐ Estate ☐ Partnership - Corporate

Please note: If you wish to invest for an **Association, Co-Operative** or **Government Body**, please call us on 13 29 39 for details of the additional information we may require from you.

Company/Corporate trustee/Executor (if applicable)/Partnership - Corporate

*Persons signing this Application Form must confirm their names and Politically Exposed Person ('PEP') status below. If you are a domestic proprietary company or a foreign company please provide the names and PEP status of all directors. If you are applying as a Corporate Partnership or more than four directors exist for a proprietary company or a foreign company, please call us on 13 29 39 before you complete **Part B**.

Registered business name

Registered business address

Unit Street number P.O. Box

Street name

Suburb State

Postcode Country (if not Australia)

Main principle place of business (if any and not the same as registered business address)

Unit Street number

Street name

Suburb	<input type="text"/>	State	<input type="text"/>
Postcode	<input type="text"/>	Country (if not Australia)	<input type="text"/>
ARBN or ABN	<input type="text"/>		
Company type	<input type="checkbox"/> Proprietary	<input type="checkbox"/> Public company	
Beneficial owners	<input type="checkbox"/> Please fill in the beneficial owner's form		

If you are an overseas company

Full name of company's local Australian agent (if any)

Address of company's local Australian agent

Unit	<input type="text"/>	Street number	<input type="text"/>	P.O. Box	<input type="text"/>
Street name	<input type="text"/>				
Suburb	<input type="text"/>	State	<input type="text"/>		
Postcode	<input type="text"/>	Country (if not Australia)	<input type="text"/>		
Country of incorporation	<input type="text"/>				

Director 1's full name*

Date of birth

Are you a Politically Exposed Person? ☐ Yes ☐ No

Please identify the source of your investable assets or wealth

<input type="checkbox"/> Gainful employment	<input type="checkbox"/> Inheritance/gift	<input type="checkbox"/> Financial investments
<input type="checkbox"/> Business activity	<input type="checkbox"/> Superannuation savings	<input type="checkbox"/> Other – please specify

Residential address (not a P.O. Box)

Unit	<input type="text"/>	Street number	<input type="text"/>
Street name	<input type="text"/>		
Suburb	<input type="text"/>	State	<input type="text"/>
Postcode	<input type="text"/>	Country (if not Australia)	<input type="text"/>

Director 2's full name*

Date of birth

Are you a Politically Exposed Person? ☐ Yes ☐ No

Please identify the source of your investable assets or wealth

<input type="checkbox"/> Gainful employment	<input type="checkbox"/> Inheritance/gift	<input type="checkbox"/> Financial investments
<input type="checkbox"/> Business activity	<input type="checkbox"/> Superannuation savings	<input type="checkbox"/> Other – please specify

Residential address (not a P.O. Box)

Unit	<input type="text"/>	Street number	<input type="text"/>
Street name	<input type="text"/>		
Suburb	<input type="text"/>	State	<input type="text"/>
Postcode	<input type="text"/>	Country (if not Australia)	<input type="text"/>

Director 3's full name*

Date of birth / /

Are you a Politically Exposed Person? ☐ Yes ☐ No

Please identify the source of your investable assets or wealth

<input type="checkbox"/> Gainful employment	<input type="checkbox"/> Inheritance/gift	<input type="checkbox"/> Financial investments
<input type="checkbox"/> Business activity	<input type="checkbox"/> Superannuation savings	<input type="checkbox"/> Other – please specify

Residential address (not a P.O. Box)

Unit Street number

Street name

Suburb State

Postcode Country (if not Australia)

Director 4's full name*

Date of birth / /

Are you a Politically Exposed Person? ☐ Yes ☐ No

Please identify the source of your investable assets or wealth

<input type="checkbox"/> Gainful employment	<input type="checkbox"/> Inheritance/gift	<input type="checkbox"/> Financial investments
<input type="checkbox"/> Business activity	<input type="checkbox"/> Superannuation savings	<input type="checkbox"/> Other – please specify

Residential address (not a P.O. Box)

Unit Street number

Street name

Suburb State

Postcode Country (if not Australia)

Individual trustee/Individual executor (if applicable)

* If more than four individual trustees exist for the unregulated trust, please call us on 13 29 39 before you complete **Part B**.
For individual trustees of SMSF and regulated trusts, a minimum of only one individual trustees details is required.

Trustee 1's full name*

Date of birth / /

Are you a Politically Exposed Person? ☐ Yes ☐ No

Please identify the source of your investable assets or wealth

<input type="checkbox"/> Gainful employment	<input type="checkbox"/> Inheritance/gift	<input type="checkbox"/> Financial investments
<input type="checkbox"/> Business activity	<input type="checkbox"/> Superannuation savings	<input type="checkbox"/> Other – please specify

Residential address (not a P.O. Box)

Unit Street number

Street name

Suburb State

Postcode Country (if not Australia)

Trustee 2's full name*

Date of birth

/ /

Are you a Politically
Exposed Person?

☐ Yes ☐ No

Please identify the
source of your investable
assets or wealth

☐ Gainful employment
☐ Business activity☐ Inheritance/gift
☐ Superannuation savings☐ Financial investments
☐ Other – please specify

Residential address (not a P.O. Box)

Unit

Street number

Street name

Suburb

State

Postcode

Country (if not Australia)

Trustee 3's full name*

Date of birth

/ /

Are you a Politically
Exposed Person?

☐ Yes ☐ No

Please identify the
source of your investable
assets or wealth

☐ Gainful employment
☐ Business activity☐ Inheritance/gift
☐ Superannuation savings☐ Financial investments
☐ Other – please specify

Residential address (not a P.O. Box)

Unit

Street number

Street name

Suburb

State

Postcode

Country (if not Australia)

Trustee 4's full name*

Date of birth

/ /

Are you a Politically
Exposed Person?

☐ Yes ☐ No

Please identify the
source of your investable
assets or wealth

☐ Gainful employment
☐ Business activity☐ Inheritance/gift
☐ Superannuation savings☐ Financial investments
☐ Other – please specify

Residential address (not a P.O. Box)

Unit

Street number

Street name

Suburb

State

Postcode

Country (if not Australia)

Regulated/Unregulated Trust beneficiary details

* Please specify the beneficiaries of the trust. If more than four individual beneficiaries exist or if the terms of the trust identify the beneficiaries by a membership class, please call us on 13 29 39 before you complete **Part B**.

Beneficiary 1's full name*

Are you a Politically
Exposed Person?

☐ Yes ☐ No

Please identify the
source of your investable
assets or wealth

☐ Gainful employment

☐ Inheritance/gift

☐ Financial investments

☐ Business activity

☐ Superannuation savings

☐ Other – please specify

Beneficiary 2's full name*

Are you a Politically
Exposed Person?

☐ Yes ☐ No

Please identify the
source of your investable
assets or wealth

☐ Gainful employment

☐ Inheritance/gift

☐ Financial investments

☐ Business activity

☐ Superannuation savings

☐ Other – please specify

Beneficiary 3's full name*

Are you a Politically
Exposed Person?

☐ Yes ☐ No

Please identify the
source of your investable
assets or wealth

☐ Gainful employment

☐ Inheritance/gift

☐ Financial investments

☐ Business activity

☐ Superannuation savings

☐ Other – please specify

Beneficiary 4's full name*

Are you a Politically
Exposed Person?

☐ Yes ☐ No

Please identify the
source of your investable
assets or wealth

☐ Gainful employment

☐ Inheritance/gift

☐ Financial investments

☐ Business activity

☐ Superannuation savings

☐ Other – please specify

Tax residency

Are any above named individuals or entities (i.e. Directors, Trustees or Beneficiaries) resident of a country for tax purposes other than Australia?

☐ Yes ☐ No

If you answered Yes above, please complete the Tax Residency Information Form, available from australianunity.com.au/wealth/BOIF, or by contacting us on 13 29 39.

Unregulated trusts

Settlor full name unless:

(i) the material asset contribution by the settlor at the time the trust is established is less than \$10,000; or

(ii) the settlor is deceased.

Are you a Politically Exposed Person? ☐ Yes ☐ No

Source of investible assets or wealth

For unregulated trusts whose account is not in the name of the trustee, please provide:

Settlor full name

Are you a Politically Exposed Person? ☐ Yes ☐ No

Please identify the source of your investable assets or wealth

<input type="checkbox"/> Gainful employment	<input type="checkbox"/> Inheritance/gift	<input type="checkbox"/> Financial investments
<input type="checkbox"/> Business activity	<input type="checkbox"/> Superannuation savings	<input type="checkbox"/> Other – please specify