

Trust Accounts Application Form

Australian Unity Banking

1. Providing your information

Privacy

Your personal and credit-related information will be collected, used and disclosed by Australian Unity in accordance with our Privacy & Credit Reporting Policy and in accordance with the law. You can obtain a copy of our Privacy & Credit Reporting Policy via our website www.australianunity.com.au or by phone on 1300 790 740.

Changing your personal details

It is important that we maintain accurate records about you. Please inform us of any changes to your personal details as soon as possible via mail, email or phone. You will find our contact details on the bottom of this page.

Please note: Where the request is made by mail, each signatory to the account must sign the request.

2. Anti-money laundering and counter-terrorism financing laws and the application form

Australia's Anti-Money Laundering and Counter Terrorism Financing (AML/CTF) laws require Australian Unity to collect certain identification information and documentation from new account holders. If you are applying through a financial adviser, your financial adviser will assist you in providing the necessary identification documents prior to lodging the application.

If you are submitting your application directly (without the assistance of a financial adviser), please refer to the Identification documents required section of this Application Form for the identification documents that should be provided with your application. Please note that your identification documents must be certified by an approved certifier, details of which are provided in the application form. Existing customers may also be asked to provide identification documents as part of a re-identification process that may be required in order to comply with AML/CTF laws. Applications may be delayed or refused if applicants do not provide the required identification documents or account information when requested.

Politically Exposed Persons

To comply with AML/CTF laws, we require you to disclose whether you are, or have an association with, a Politically Exposed Person ('PEP').

A PEP is an individual who holds a prominent public position or function in a government body or an international organisation in Australia or overseas, such as a Head of State, or Head of a Country or Government, or a Government Minister, or equivalent senior politician. A PEP can also be an immediate family member of a person referred to above, including spouse, de facto partner, child, and a child's spouse or a parent. A close associate of a PEP, i.e. any individual who is known to have joint beneficial ownership of a legal arrangement or entity is also considered to be a PEP. Where you identify as, or have an association with a PEP, we may request additional information from you.

Providing your Tax File Number ('TFN')

You may decide whether you wish to provide your TFN on the Application Form. If we do not receive your TFN, ABN or appropriate exemption information, we must withhold tax at the highest marginal tax rate (plus levies) from distributions paid to you.

Your Beneficial Owners

Beneficial Owner means an individual who ultimately 'controls' a Trust (directly or indirectly) or owns a Trust (directly or indirectly). 'Control' includes control (directly or indirectly) as a result of, or by means of trusts (including in the capacity as beneficiary, trustee or settlor), an arrangement, an understanding or practice, and further includes the ability to exercise control over decisions about financial and operating policies. 'Owns' means ownership (either directly or indirectly) of 25% or more of a Trust.

Under AML/CTF laws you are required to provide information about your Beneficial Owners for certain account types, including:

Under AML/CTF laws you are required to provide information about your Beneficial Owners for certain account types, including:

- Australian incorporated or created entities such as:
 - unlicensed proprietary limited companies, not subject to regulatory oversight (note: not applicable for complying superannuation fund investors); or
 - unregistered trusts, including family trusts.
- Entities incorporated or created outside of Australia such as:
 - companies;
 - partnerships;
 - trusts; or
 - estates.

These account types will be required to complete the 'Beneficial Owner Information' Form, which is available on our website www.australianunity.com.au or by calling our Customer Service Team on 1300 790 740.

Certification of documents

Where your identification documents need to be certified, we suggest that the person certifying the document(s) for you use the following statement on the copy being certified:

'I certify this to be a true copy of the [name of document] of [name of investor], the original of which, was produced to me at the time of signing.'

The document must also be dated, and have the signature, printed name, occupation, employer and address of the person certifying the document.

Persons who may certify copies of original identification documents are listed in the Australian Unity Approved Certifiers List in this application form.

Identification documents required

Please refer to the table below for identification documentation and account information required under AML/CTF laws.

Please note: Certain types of Trusts may also be required to provide information and identification documents in relation to your Beneficial Owners (see above definition). You will be required to complete the 'Beneficial Owner Information' Form.

Type of account holder	Your account must be in the name of:	TFN(s) or exceptions to be submitted	Signature(s) required	Identification documents required (in support of the Application Form)
Individual Trustee	The individual as trustee for the SMSF e.g. 'Jane Citizen ATF The Citizen Superannuation Fund'	The Trust's	The trustee(s), 'as trustee for...'	Original or original certified copy of any of the following that identify your (the trustee's) full name, date of birth and/or residential address: <ul style="list-style-type: none"> • Driver Licence; • Passport (current or expired less than two years) issued by the Commonwealth; • Australian Photo ID Card; • Current Foreign passport (if not in English, accompanied by an English translation prepared by an accredited translator)
Corporate Trustee	The company as trustee for the Trust, e.g. 'ABC Pty Ltd ATF the ABC Trust'	The Trust's	The corporate trustee, 'as trustee for...'	Original or original certified copy of the Certificate of Registration/Incorporation confirming the company's name, identification number and whether the company is a public or proprietary company. For each signatory, please provide the same type of information requested for an Individual Trustee above.
Trust	The trustee(s) of the Trust and not the name of the Trust e.g. 'ABC Trustees ATF the Jane Citizen Trust'	The Trust's	The trustee(s), 'as trustee for...'	Original or original certified copy of the first page and schedule of the Trust Deed. Copy (not certified) of the full Trust Deed is also required.

Settlers of Trusts

In relation to unregistered Trusts, i.e. Family Trusts, in certain circumstances we may need to collect and verify the full name of the settlor of the trust. We will advise you if this is the case once we have reviewed the Trust Deed.

Signing the Application Form

Read the declaration section carefully before signing the Application Form. Each signatory to the account must sign the Application Form.

If signed under Power of Attorney, the Attorney must certify that they have not received notice of revocation of the Power. We will require an original certified copy of the Power of Attorney for our reference.

3. Australian Unity Approved Certifiers List

Below is a list of persons who may certify documents.

General

- Commissioner for Affidavits
- Commissioner for Declarations
- Justice of the Peace
- A person who, under the law in force in a State or Territory, is currently licensed or registered to practise as:
 - Chiropractor
 - Dentist
 - Legal Practitioner
 - Medical Practitioner
 - Nurse
 - Optometrist
 - Pharmacist
 - Physiotherapist
 - Psychologist
 - Veterinary Surgeon
- Permanent employee of Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- Banking/Building Society/Credit Union/finance company officer with 2 or more years of continuous service

Legal and Courts

- A person who is enrolled on the role of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner, (however described)
- Chief Executive Officer of a Commonwealth Court
- Clerk of a court
- Judge of a Court
- Magistrate
- Registrar, or Deputy Registrar, of a Court

Accounting and Taxation Services

- Fellow of the National Tax Accountants' Association
- Member of Chartered Secretaries Australia
- Member of the Association of Taxation and Management Accountants
- Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants

Defence and Protection Services

- Member of the Australian Defence Force who is:
 - An Officer; or
 - Non-commissioned Officer within the meaning of the Defence Force Discipline Act of 1982 with 2 or more years of continuous service; or
 - A Warrant Officer within the meaning of the Act
- Police Officer
- Sheriff or Sheriff's Officer

Other Services/Roles

- Marriage celebrant registered under Subdivision C of Division 1 of Part 4 of the Marriage Act 1961
- Member of Engineers Australia, other than at the grade of student
- Member of:
 - The Parliament of the Commonwealth; or
 - The Parliament of the State; or
 - A Territory legislature; or
 - A Local Government Authority of a State or Territory
- Minister of religion registered under Subdivision A of Division 1 Part 4 of the Marriage Act of 1961
- Notary Public
- Member of the Australasian Institute of Mining and Metallurgy
- Teacher employed on a full-time basis at a school or tertiary education institution

Approved Overseas Certifiers

- Employee of the Commonwealth who is in a country or a place outside of Australia and authorised under a paragraph 3 (c) of the Consular Fees Act 1955; and exercising his or her function in that place
- Employee of the Australian Trade Commission who is in a country or place outside Australia; and under paragraph 3 (d) of the Consular Fees Act of 1955; and exercising his or her function in that place
- Australian Consular Officer or Australian Diplomatic Officer (within the meaning of the Consular Fees Act 1955)
- A person authorised as a notary public in a foreign country

Trusts

Please use **BLOCK** letters and a black or blue pen to complete this Application Form.

Please make sure that all questions are answered. Please indicate using an 'X' where appropriate. If a section does not apply to you, please indicate using 'N/A'.

Step 1 Account details

Is this a new Trust account application with Australian Unity?

Yes Go to **Section 2** and complete all details No See below

Is this account to be in the same name as an existing Trust account held with Australian Unity?

No Go to **Section 2** and complete all details Yes Please specify your existing customer number and account name

Customer no.

Account name

Step 2 Trusts

Name of TRUST

TFN

or

*TFN Exemption

Customer no:

Name of custodian
or trustee (if applicable):

Contact person:

Email:

Registered address

Unit

Street no.

Street name

Suburb

State

Postcode

Country

Mailing address

Same as above

Otherwise complete mailing address below

Unit

Street no.

Street name

Suburb

State

Postcode

Country

Contact details

Contact name

Phone Mobile

Email

Step 3 Trustees

Individual Trustees

*Individual trustees signing this Trust Application Form must confirm their names and PEP status below. If you are a corporate trustee, please provide the names and PEP status of all directors. If more than four individual trustees or directors for a corporate trustee exist, please call us on 1300 790 740 before you complete this form.

Trustees 1's full name*

Are you a Politically Exposed Person? Yes No

Are you a US Citizen or US tax resident? Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Trustees 2's full name*

Are you a Politically Exposed Person? Yes No

Are you a US citizen or US tax resident? Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Trustees 3's full name*

Are you a Politically Exposed Person? Yes No

Are you a US citizen or US tax resident? Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Trustees 4's full name*

Are you a Politically Exposed Person? Yes No

Are you a US citizen or US tax resident? Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Corporate Trustees

Director 1's full name*

Are you a Politically Exposed Person? Yes No

Are you a US citizen or US tax resident? Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Director 2's full name*

Are you a Politically Exposed Person? Yes No

Are you a US citizen or US tax resident? Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Corporate Trustees continued

Director 3's full name*

Are you a Politically Exposed Person?

 Yes No

Are you a US citizen or US tax resident?

 Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Director 4's full name*

Are you a Politically Exposed Person?

 Yes No

Are you a US citizen or US tax resident?

 Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Step 4 Trust Beneficiary details

*Please specify the beneficiaries of the trust. If more than four beneficiaries exist for the trust, please call us on 1300 790 740 before you complete this form.

Beneficiary 1's full name*

Are you a Politically Exposed Person?

 Yes No

Are you a US citizen or US tax resident?

 Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Beneficiary 2's full name*

Are you a Politically Exposed Person?

 Yes No

Are you a US citizen or US tax resident?

 Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Beneficiary 3's full name*

Are you a Politically Exposed Person?

 Yes No

Are you a US citizen or US tax resident?

 Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Director 4's full name*

Are you a Politically Exposed Person?

 Yes No

Are you a US citizen or US tax resident?

 Yes No

If 'Yes', please provide your Taxpayer Identification Number ('TIN'):

Step 5 US Foreign Account Tax Compliance Act ('FATCA') questionnaire

Do any of the following apply to you? (tick all that apply)

- Less than 50% of our assets held in the previous financial year produce passive income[#]
#Passive Income – includes rental income and income not generated from active participation, such as interest, dividends, trust distributions or royalties
- Our stock is regularly traded on an established securities market or more than 50% of our stock is controlled by a non financial entity regularly traded on an established securities market
- Our entity is a government body, agency or instrumentality
- Our entity is exempt from tax in our jurisdiction of residence – If ticked why?

Please note: If you have not selected any of the checkboxes in question 3 above, you will need to complete the 'Beneficial Owner Information Form', which is available on our website www.australianunity.com.au or by calling our Customer Service Team on 1300 790 740.

Step 6 Statements

By ticking the check box below, I understand that:

- You will not post me periodic statements if I have registered for Internet Banking. These will be available electronically within my secure internet banking login
- If I choose not to register for Internet Banking, I may be charged for printed periodic statements
- You may send me notices about my accounts to the email address I have provided
- I can revert to periodic statements and notices via post, by submitting a written request to Australian Unity

Please note: Charges may apply for requests to receive periodic statements via post.

- I agree to receive electronic statements and notices.

Step 7 Declarations and Trustee Signature(s)

Please note that the Trustees that will be signatories to the Trust must sign the declaration below.

1. Sign the Application Form.
2. Please enclose the additional documentation outlined in the Identification documents required section.
3. Return the completed Application Form to :
Australian Unity Bank
GPO Box 1801
Melbourne Vic 3001

Declaration

1. I believe the above identification and account information details to be true and correct. It is an offence under the Anti-Money Laundering and Counter Terrorism Financing Act 2006 to give false and misleading information. I understand Australian Unity will collect personal information from me as required by the Anti-Money Laundering and Counter Terrorism Financing Act 2006 and that it may take steps to verify the personal information it has collected.
2. As a condition of opening an account with Australian Unity I have read and accept the terms and conditions of the following:
 - Accounts and Access Facilities Terms of Use
 - Financial Services Guide
 - Schedule of Fees, Charges and Transaction Limits
 - Schedule of Interest Rates
 - Privacy and Credit Reporting PolicyI understand that these documents are available on Australian Unity's website at www.australianunity.com.au and that I can access them online. Alternatively, I can obtain copies of these documents from Australian Unity on request by calling 1300 790 740.
3. I declare that the information provided for meeting FATCA requirements (where applicable) is true and correct and that I will provide all necessary co-operation and assistance in order for Australian Unity to comply with obligations under Australian legislation designed to give effect to the FATCA agreement between Australia and the United States.

Membership of Australian Unity Limited

As a Australian Unity customer you may be eligible to become a member of Australian Unity Limited (AUL) once you have held a Australian Unity account for a continuous period of two years, subject to acceptance by AUL. You hereby apply for membership, and agree to become a member of AUL (and to be bound by its Constitution upon becoming a member) unless you provide AUL with written notice that you elect not to be a member of AUL. Admission to membership is at the absolute discretion of the directors of AUL (or their delegate), and they may create categories of membership with the same or differing rights or privileges and admit you to any category. Membership of AUL is on and subject to such rights and privileges (if any) and the terms, rights, restrictions and obligations set out in the Constitution of AUL from time to time.

On behalf of Corporate Trustee Individual Trustee

On behalf of Corporate Trustee Individual Trustee

Signatory 1

Name

Date / /

Signatory 2

Name

Date / /



Please send the completed form to:

Australian Unity Bank
Reply Paid 1801, Melbourne VIC 3001
(no stamp required if mailed in Australia)

Contact us



Australian Unity
GPO Box 1801, Melbourne VIC 3001



australianunity.com.au



bankingsupport@australianunity.com.au



1300 790 740

CRS Entity Declaration Form



Foreign Tax Information – Entities

Please use **BLOCK** letters and a black or blue pen to complete this Form.

- Indicate using an 'X' where appropriate. If a section does not apply to you, please indicate using 'N/A'.
- Your personal information will be collected, used and disclosed by us in accordance with our Privacy Policy and in accordance with the law. You can obtain a copy of our Privacy Policy via our website australianunity.com.au/privacy-policy or by telephone 1300 790 740.

Section 1 Entity tax information

This section is for entities completing Entity tax residence declarations. An entity can be a company, trust, partnership, association, registered co-operative or government body.

Complete one form for each Entity. If more space is required while filling any section, please re-print that page and fill out the additional details.

Step 1.1 General entity information

This step requires that the Entity provides general business and contact information.

Entity name

Entity ABN Entity ACN

Entity registered office address (Cannot be a PO Box)

Unit Street number

Street name

Suburb State

Postcode Country (if not Australia)

Entity postal address

Unit Street number

Street name

Suburb State

Postcode Country (if not Australia)

Entity contacts

Phone no. (business hours) Mobile no.

Phone no. (after hours)

Email

Step 1.2 Tax status

This section is required for an entity that is required to confirm:

- Its FATCA status (FATCA = Foreign Account Tax Compliance Act)
- Its CRS status (CRS = Common Reporting Standard), or
- Whether it or any of its controlling persons are foreign tax residents

Tick one of the Tax Status boxes below (if the Company or Trust is a Financial Institution, please provide all the requested information below)

An Australian regulated superannuation fund including a complying SMSF retirement or pension fund. Please proceed to Step 1.5 (Entity declaration and signature).

A Financial Institution (A custodial or depository institution, an investment entity, a specified insurance company or non-reporting financial institution for FATCA / CRS purposes)

Provide the Company's Global Intermediary Identification Number (GIIN), if applicable ---

If the Entity is a Financial Institution but does not have a GIIN, provide its FATCA Status (select ONE of the following statuses)

Deemed Compliant Financial Institution

Excepted Financial Institution

Exempt Beneficial Owner

Non Reporting IGA Financial Institution.

(If the Entity is a Trustee-Documented Trust, provide the Trustee's GIIN)

Non-Participating Financial Institution

US Financial Institution

Other (describe the FATCA status in the box provided)

PLEASE ANSWER THE QUESTION BELOW FOR ALL FINANCIAL INSTITUTIONS

Is the Financial Institution an Investment Entity located in a Non-Participating CRS Jurisdiction and managed by another Financial Institution?

Yes No

If Yes, proceed to Step 1.3 (Foreign Controlling Persons). If No, please proceed to Step 1.5 (Entity declaration and signature).

CRS Participating Jurisdictions are on the OECD website at oecd.org.

Public Listed Company, Majority Owned Subsidiary of a Public Listed Company that are not Financial Institutions

Please provide the name of the market or stock exchange where your company is listed and your company's unique exchange code.

Please proceed to Step 1.5 (Entity declaration and signature).

Non-Financial Entity (NFE)/Non-financial Foreign Entity (NFFE) An entity is an active NFE or NFFE if, during the previous reporting period, less than 50% of their gross income was passive income (e.g. dividends, interests and royalties) and less than 50% of assets held produced passive income. An NFE/NFFE is passive if it does not fit the description of an active entity.

NFE/NFFE includes the following entity types:

- Private or proprietary company that is NOT a financial institution
- Public unlisted company that is NOT a financial institution
- Partnership, Trust, Co-operative, Association or club, that is NOT a financial Institution
- Registered or non-registered charitable organisations, that is NOT a financial Institution.

An Active NFE/NFFE Please proceed to Step 1.4 (Country of Tax Residency).

A Passive NFE/NFFE Please proceed to Step 1.3 (Foreign Controlling Persons).

Governmental entity, international organisation, central bank, Australian Registered Charity or Deceased Estate. Please proceed to Step 1.5 (Entity declaration and signature).

Step 1.3 Foreign Controlling Persons (Individuals)

Are any of the Entity's Controlling Persons* tax residents of countries other than Australia? Yes No

If **Yes**, provide the details of these individuals below and complete **Section 2 Controlling Persons Tax Information** of this form for each controlling person.

* A Controlling Person is an individual who directly or indirectly exercises control over the Entity. For a company, this includes any beneficial owners controlling 25% of the shares in the company. For a Trust this includes Trustees, Settlers or Beneficiaries. For a partnership this includes any partners.

Where there are no natural persons that control the Entity through ownership, the controlling person(s) may be a natural person(s) who could be a senior managing official.

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If you have any questions on how to define your tax residency status, please visit the OECD website (oecd.org) or speak to a professional tax adviser as we are not allowed to give tax advice.

Given name	Surname	Role (such as Director or Senior Managing Official)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more than three individuals, please tick this box re-print this page and complete this table on behalf of the additional individuals.

Step 1.4 Country of Tax Residency

Tick one of the Tax Status boxes below.

Is the Entity a tax resident of Australia?

Yes No

If **No**, provide the Entity's country of tax residence and Tax Identification Number (TIN) or equivalent below. If the Entity is a tax resident of more than one other country, list all relevant countries below.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia. If a TIN is not provided, list one of the three reasons specified (A, B or C) for not providing a TIN.

1	Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B, or C	<input type="text"/>
2	Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B, or C	<input type="text"/>
3	Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B, or C	<input type="text"/>

Reason A The country of tax residency does not issue TINs to tax residents

Reason B You have not been issued with a TIN.

Please explain why

Reason C The country of tax residency does not require the TIN to be disclosed

If you are a tax resident of more countries, please cross this box re-print this page and provide the additional details.

Step 1.5 Entity declaration and signature

This is to be completed by an authorised representative of the Entity, such as a Director or Trustee.

By completing and signing this declaration I certify that:

- The information that I have provided is true and correct.
- I have provided the Entity's country tax residency status, including all countries which it is a tax resident and the respective TIN.
- I will inform you within 30 days of any change in circumstances which affect the Entity's country tax residency status.
- I consent to the collection, use, storage and disclosure of our personal information in this form. Any personal information collected for the purposes of the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard (CRS) will be:
 - Used for the purpose of meeting obligations under CRS and the Intergovernmental Agreement (IGA) between Australia and United States implementing the United States legislation known as FATCA; and
 - Used for other purposes relating to verification of our identity and to review and correct discrepancies in the information provided and recorded.
- I confirm that I have read Australian Unity's privacy policy and understand the terms and conditions surrounding the collection, use, storage and disclosure of our personal information.
- (if signing under a power of attorney) I declare that I have not received notice of revocation of that power.

Signature

Capacity (Company Director, Trustee, etc.).

Representative name

Date / /

Section 2 Controlling Persons Tax Information

This section is designed to collect the tax status of an individual where this information has not previously been provided, or the individual has been identified as a potential taxpayer of a country other than Australia or to provide updated information about your tax residency.

Please complete for each Controlling Persons

PLEASE NOTE: You may be treated as being a non-Australian taxpayer if the requested information is not provided.

Step 2.1 Personal Details

Title Mr Mrs Ms Miss Date of birth / /

Surname

Given name(s)

Occupation

Email

Step 2.2 Residential address (P.O. Box is not acceptable)

Unit Street number

Street name

Suburb State

Postcode Country (if not Australia)

Step 2.3 Tax status

Answer both tax residency questions:

Are you a tax resident of Australia? Yes No

Are you a tax resident of another Country? Yes No

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia. If a TIN is not provided, list one of the three reasons specified (A, B or C) for not providing a TIN.

If you have any questions on how to define your tax residency status, please visit the OECD website (oecd.org) or speak to a professional tax adviser as we are not allowed to give tax advice.

If you are a tax resident of a country other than Australia, provide your Tax Identification Number (TIN) or equivalent below. If you are a tax resident of more than one country, list all relevant countries below.

1	Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B, or C	<input type="text"/>
2	Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B, or C	<input type="text"/>
3	Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B, or C	<input type="text"/>

Reason A The country of tax residency does not issue TINs to tax residents

Reason B You have not been issued with a TIN.

Please explain why.

Reason C The country of tax residency does not require the TIN to be disclosed

If you are a tax resident of more countries, please cross this box re-print this page and provide the additional details.

Step 2.4 Declaration and signature

Controlling Person Declaration and Undertakings

- I acknowledge that the information contained in this form and information regarding the Controlling Person and any Reportable Account(s) may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which [I/the Controlling Person] may be a tax resident pursuant to international agreements to exchange financial account information.
- I certify that I am the Controlling Person, or am authorised to sign for the Controlling Person, of all the account(s) held by the Entity Account Holder to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

- I undertake to advise the recipient within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Step 1.3 (Foreign Controlling Persons) of this form or causes the information contained herein to become incorrect, and to provide the recipient with a suitably updated self-certification and Declaration within 30 days of such change in circumstances.
- I consent to the collection, use, storage and disclosure of our personal information in this form. Any personal information collected for the purposes of the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard (CRS) will be:
 - Used for the purpose of meeting obligations under CRS and the Intergovernmental Agreement (IGA) between Australia and United States implementing the United States legislation known as FATCA; and
 - Used for other purposes relating to verification of our identity and to review and correct discrepancies in the information provided and recorded.
- I confirm that I have read Australian Unity's privacy policy and understand the terms and conditions surrounding the collection, use, storage and disclosure of our personal information.

Signature

Representative name

Date / /

Capacity


Capacity Note: If you are not the Controlling Person please indicate the capacity (e.g. Director or Senior Managing Officer) in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.




Return by email


bankingsupport@australianunity.com.au

Contact us

 Australian Unity
GPO Box 1801, Melbourne VIC 3001

 australianunity.com.au

 bankingsupport@australianunity.com.au

 1300 790 740

CRS Individual Declaration Form



Foreign Tax Information – Individuals

Please: Use **BLOCK** letters and a black or blue pen to complete this Form.

- Indicate using an 'X' where appropriate. If a section does not apply to you, please indicate using 'N/A'.
- Your personal information will be collected, used and disclosed by us in accordance with our Privacy Policy and in accordance with the law. You can obtain a copy of our Privacy Policy via our website australianunity.com.au/privacy-policy or by telephone 1300 790 740.
- Individuals and Sole Traders to complete this form. Entities to complete the CRS Entity Declaration form available from our website.

Section 1 Customer tax information (Individuals and Sole Traders only)

This section is designed to collect the tax status of an individual where this information has not previously been provided, the individual has been identified as a potential taxpayer of a country other than Australia or to provide updated information about tax residency for an individual.

Complete one form for each individual.

PLEASE NOTE: You may be treated as being a non-Australian taxpayer if the requested information is not provided.

Customer

Step 1.1 Personal details

Title	<input checked="" type="checkbox"/> Mr	<input checked="" type="checkbox"/> Mrs	<input checked="" type="checkbox"/> Ms	<input checked="" type="checkbox"/> Miss	Date of birth	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Surname	<input type="text"/>														
Given name(s)	<input type="text"/>														
Occupation	<input type="text"/>														
Email	<input type="text"/>														

Step 1.2 Residential address (PO Box is NOT acceptable)

Unit	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Street number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
Street name	<input type="text"/>											
Suburb	<input type="text"/>							State	<input type="text"/>			
Postcode	<input type="text"/>			Country (if not Australia)	<input type="text"/>							

Step 1.3 Tax status

Tax Residency rules differ by country. Whether you are a tax resident of a particular country is often (but not always) based on the amount of time you spend in a country, the location of your residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If you have any questions on how to define your tax residency status, please visit the OECD website (oecd.org) or speak to a professional tax adviser as we are not allowed to give tax advice.

Answer both tax residency questions:

- Are you a tax resident of Australia? Yes No
- Are you a tax resident of another Country? Yes No

If you are a tax resident of a country other than Australia, provide your Tax Identification Number (TIN) or equivalent below. If you are a tax resident of more than one country, list all relevant countries below.

1	Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B, or C	<input type="text"/>
2	Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B, or C	<input type="text"/>
3	Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B, or C	<input type="text"/>

Reason A The country of tax residency does not issue TINs to tax residents

Reason B You have not been issued with a TIN.

Please explain why:

Reason C The country of tax residency does not require the TIN to be disclosed

If you are a tax resident of more countries, please cross this box re-print this page and provide the additional details.

Step 1.4 Declaration and signature

By completing and signing this declaration I certify that:

- The information I have provided is true and correct.
- I have provided my tax residency status, including all countries which I am a tax resident and the respective TIN.
- I will inform you within 30 days of any change in circumstances which affect my tax residency status.
- I consent to the collection, use, storage and disclosure of my personal information in this form. Any personal information collected for the purposes of the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard (CRS) will be:
 - Used for the purpose of meeting obligations under CRS and the Intergovernmental Agreement (IGA) between Australia and United States implementing the United States legislation known as FATCA; and
 - Used for other purposes relating to verification of our identity and to review and correct discrepancies in the information provided and recorded.
- I confirm that I have read Australian Unity's privacy policy and understand the terms and conditions surrounding the collection, use, storage and disclosure of my personal information.
- (if signing under a power of attorney) I declare that I have not received notice of revocation of that power.

Signature of Customer

Print name of Customer

Date / /



Return by email

bankingsupport@australianunity.com.au

Contact us

Australian Unity
GPO Box 1801, Melbourne VIC 3001

australianunity.com.au

bankingsupport@australianunity.com.au

1300 790 740