Tax Residency Information Form



22 July 2019

Please: Use **BLOCK** letters and a black or blue pen to complete this Form.

- Indicate using an 'X' where appropriate. If a section does not apply to you, please indicate using 'N/A'.
- Your personal information will be collected, used and disclosed by us in accordance with our Privacy Policy and in accordance with the law. You can obtain a copy of our Privacy Policy via our website australianunity.com.au/privacy-policy or by telephone 13 29 39.
- Individuals and Sole Traders to complete Section 1 ONLY. Entities to complete Section 2 and 3 ONLY starting on page 4.

Section 1 Investor tax information (Individuals and Sole Traders only)

Section 1 is designed to collect the tax status of an individual where this information has not previously been provided, the individual has been identified as a potential taxpayer of a country other than Australia or to provide updated information about tax residency for an individual.

Complete one Form for each individual.

PLEASE NOTE: You may be treated as being a non-Australian taxpayer if the requested information is not provided.

Investor 1								
Step 1.1 Personal	details							
Title	Mr Mrs	Ms M	iss		Date of birt	DD/MI	M/YYY	Y
Surname								
Given name(s)								
Occupation								
Email								
Step 1.2 Resident	ial address (PO Box is NOT acce	eptable)						
Unit						Street number		
Street name								
Suburb					State			
Postcode		Country (if no	t Australia)					
Step 1.3 Tax statu	IS							
	differ by country. Whether you he location of your residence of							
If you have any ques as we are not allowe	stions on how to define your ta d to give tax advice.	x residency sta	itus, please visit th	e OECD website (oed	cd.org) or spea	ak to a profession	al tax adviser	
Answer both tax res	sidency questions:							
Are you a tax reside	nt of Australia?	Yes	No					
Are you a tax reside	nt of another Country?	Yes	No					

	f a country other than Australia, provide you list all relevant countries below.	r Tax Identification Number (TIN) or equivalent below. If you are a tax resident				
1 Country	TIN	If no TIN, list reason A, B, or C				
2 Country	TIN	If no TIN, list reason A, B, or C				
3 Country	TIN	If no TIN, list reason A, B, or C				
Reason A The country of t	tax residency does not issue TINs to tax reside	ents				
Reason B You have not be	en issued with a TIN.					
Please explain w	rhy.					
Reason C The country of t	rax residency does not require the TIN to be d	lisclosed				
If you are a tax resident of	more countries, please cross this box re-	print this page and provide the additional details.				
Step 1.4 Declaration a	nd signature					
By completing and signing	this declaration I certify that:					
• The information I have	provided is true and correct.					
I have provided my tax	residency status, including all countries which	h I am a tax resident and the respective TIN.				
I will inform you within	30 days of any change in circumstances which	h affect my tax residency status.				
	ion, use, storage and disclosure of my persona ax Compliance Act (FATCA) and the OECD Co	al information in this Form. Any personal information collected for the purposes of ommon Reporting Standard (CRS) will be:				
	 Used for the purpose of meeting obligations under CRS and the Intergovernmental Agreement (IGA) between Australia and United States implementing the United States legislation known as FATCA; and 					
 Used for other purpo 	oses relating to verification of our identity and	to review and correct discrepancies in the information provided and recorded.				
I confirm that I have readisclosure of my person		rstand the terms and conditions surrounding the collection, use, storage and				
• (if signing under a Pow	ver of Attorney) I declare that I have not receiv	ved notice of revocation of that power.				
Signature of Investor 1		Print name of Investor 1				
X		Date DD/MM/YYYY				
Investor 2						
Step 1.5 Personal details	s					
Title	Mr Mrs Ms Miss	Date of birth DD/MM/YYYY				
Surname						
Given name(s)						
Occupation						
Email						
Step 1.6 Residential add	dress (PO Box is NOT acceptable)					
Unit		Street number				
Street name						
Suburb		State				

Country (if not Australia)

Postcode

			articular country is often (but not always) based on the amount of time you JS, tax residency can be as a result of citizenship or residency.
	have any questions on how to define your tax residency soft allowed to give tax advice.	tatus, please	e visit the OECD website (oecd.org) or speak to a professional tax adviser as we
Answ	er both tax residency questions:		
Are yo	ou a tax resident of Australia?	No	
Are yo	ou a tax resident of another Country?	No	
	are a tax resident of a country other than Australia, prover than one country, list all relevant countries below.	vide your Ta	x Identification Number (TIN) or equivalent below. If you are a tax resident
1	Country	TIN	If no TIN, list reason A, B, or C
2	Country	TIN	If no TIN, list reason A, B, or C
3	Country	TIN	If no TIN, list reason A, B, or C
Reaso	on A The country of tax residency does not issue TINs to t	tax residents	5
Reaso	on B You have not been issued with a TIN.		
	Please explain why.		
Reaso	on C The country of tax residency does not require the TIN	N to be discl	osed
	are a tax resident of more countries, please cross this box		
•	re are more than two individuals, reprint Section 1 of this		
	, · ·		•
Ste	1.8 Declaration and signature		
Ву со	mpleting and signing this declaration I certify that:		
• Th	e information I have provided is true and correct.		
• Ih	ave provided my tax residency status, including all countr	ies which I a	am a tax resident and the respective TIN.
• I w	ill inform you within 30 days of any change in circumstand	ces which af	fect my tax residency status.
	onsent to the collection, use, storage and disclosure of my e Foreign Account Tax Compliance Act (FATCA) and the C		offormation in this Form. Any personal information collected for the purposes of the non-Reporting Standard (CRS) will be:
	Used for the purpose of meeting obligations under CRS arimplementing the United States legislation known as FATG		governmental Agreement (IGA) between Australia and United States
-	Used for other purposes relating to verification of our ider	ntity and to	review and correct discrepancies in the information provided and recorded.
	onfirm that I have read Australian Unity's Privacy Policy and sclosure of my personal information.	nd understa	and the terms and conditions surrounding the collection, use, storage and
• (if	signing under a Power of Attorney) I declare that I have n	ot received	notice of revocation of that power.
Signa	ture of Investor 2		Print name of Investor 2
X			
			Date DD/MM/WYY

If you are filling this Form in as an individual investor or Sole Trader you have now completed this Form. Please see page 8 for details on how to submit the Form to Australian Unity.

Step 1.7 Tax status

Section 2 Entity tax information

Section 2 is for entities completing Entity tax residence declarations. An Entity can be a company, trust, partnership, association, registered co-operative or government body.

Complete one Form for each Entity. If more space is required while filling any section, please re-print that page and fill out the additional details.

Sole Traders should complete Section 1 of this Form.

Ste	p 2.1 General entity i	nformation				
This	step requires that the	Entity provides general bu	isiness and contact inform	nation.		
Entit	y name					
Entit	y ABN			Entity ACN		
Entit	y registered office ac	ddress (Cannot be a PO B	ox)			
Unit						Street number
Stree	t name					
Subu	rb				State	
Posto	code	C	ountry (if not Australia)			
Entit	y postal address		_			
Unit						Street number
Stree	t name					
Subu	rb				State	
Posto	code	C	ountry (if not Australia)			
Entit	y contacts					
Phon				Mobile no.		
Phon	ness hours) e no.					
(after	hours)					
Emai						
Ste	p 2.2 Tax status					
This	anation in required for	an Entity that is required t	a confirm			
	·	an Entity that is required t				
		A = Foreign Account Tax (common Reporting Standa				
		controlling persons are for				
				cial Institution, please pro	ovide all the	requested information below)
	An Australian regu declaration and sign		nd including a complying	SMSF retirement or pensio	n fund. Pleas	e proceed to Step 2.5 (Entity
	A Financial Institution for FATC.		ory institution, an investn	nent entity, a specified insu	irance compa	ny or non-reporting financial
	Provide the Entity's	Global Intermediary Identit	fication Number (GIIN), if	applicable	_	
	If the Entity is a Fina	ancial Institution but does r	not have a GIIN, provide it	ts FATCA Status (select ON	IE of the follo	wing statuses)
	Deemed Compli	ant Financial Institution				
	Excepted Finance	cial Institution				
	Exempt Benefici	al Owner				
		GA Financial Institution. Trustee-Documented Trus	st, provide the Trustee's (GIIN)		

	Non-Participating Financial Insti	tution		
	US Financial Institution			
	Other (describe the FATCA statu	us in the box provided)		
	PLEASE ANSWER THE QUESTION	I BELOW FOR ALL FINANCIAL INST	rutions	
				naged by another Financial Institution?
	Yes No	,		,
	If Yes, proceed to Step 2.3 (Foreign (Controlling Persons). If No, please ple	e proceed to Step 2.5 (Entity	declaration and signature).
	CRS Participating Jurisdictions are	on the OECD website at <u>oecd.org</u> .		
		wned Subsidiary of a Public Listed		
	Please provide the name of the mark	ket or stock exchange where your cor	pany is listed and your compa	any's unique exchange code.
	Please proceed to Step 2.5 (Entity of	leclaration and signature).		
	less than 50% of their gross income		erests and royalties) and less	if, during the previous reporting period, than 50% of assets held produced passive
	NFE/NFFE includes the following	entity types:		
		ny that is NOT a financial institution		
	Public unlisted company that Destroyable Trust Company			
		ve, Association or club, that is NOT a charitable organisations, that is NOT		
	An Active NFE/NFFE Please	proceed to Step 2.4 (Country of Tax F	sidency).	
	A Passive NFE/NFFE Please	proceed to Step 2.3 (Foreign Controlli	g Persons).	
	Governmental entity, international (Entity declaration and signature).	organisation, central bank, Austra	n Registered Charity or Dec	ceased Estate. Please proceed to Step 2.5
Ster	2.3 Foreign Controlling Persons (I	ndividuals)		
0.0		naividudis,		
Are ar	ny of the Entity's Controlling Persons*	* tax residents of countries other than	Australia? Yes No	0
If Yes ,	provide the details of these individua	als below and complete Section 3 Co	rolling Persons Tax Informa	ation of this Form for each controlling person.
				y, this includes any beneficial owners partnership this includes any partners.
	e there are no natural persons that co ging official.	entrol the Entity through ownership, t	controlling person(s) may b	e a natural person(s) who could be a senior
				not always) based on the amount of time a be as a result of citizenship or residency.
	have any questions on how to define of allowed to give tax advice.	your tax residency status, please vis	he OECD website (oecd.org)	or speak to a professional tax adviser as we
Given	name	Surname	Role (such as Ser	nior Managing Official)
If ther	e are more than three individuals, ple	ease tick this box re-print this pa	and complete this table on	behalf of the additional individuals.

Step 2.4 Country of Tax Residency

Tax Residency rules differ by country. Whether you are a tax resident of a particular country is often (but not always) based on the amount of time you spend in a country, the location of your residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If you have questions on how to define your tax residency status, please visit the OECD website (oecd.org) or speak to a professional tax adviser as we are not allowed to give tax advice.

Answer both tax residency questions.					
Is the Entity a tax resident of Australia?	Yes	No			
Is the Entity a tax resident in a country other than Australia?	Yes	No			
If the Entity is a tax resident of a country other than Australia, yo (TIN) or equivalent below. If the Entity is a tax resident of more t		rovide the Entity's country of tax residence and Tax Identification Number other country, list all relevant countries below.			
A TIN is the number assigned by each country for the purposes of is not provided, list one of the three reasons specified (A, B or C) for		ering tax laws. This is the equivalent of a Tax File Number in Australia. If a TIN viding a TIN.			
1 Country	TIN	If no TIN, list reason A, B, or C			
2 Country	TIN	If no TIN, list reason A, B, or C			
3 Country	TIN	If no TIN, list reason A, B, or C			
Reason A The country of tax residency does not issue TINs to tax	residents				
Reason B You have not been issued with a TIN.					
Please explain why.					
Reason C The country of tax residency does not require the TIN to	o be disclos	osed			
If you are a tax resident of more countries, please cross this box] re-print	t this page and provide the additional details.			
Step 2.5 Entity declaration and signature					
This is to be completed by an authorised representative of the Ent	ity, such as	s a Director or Trustee.			
By completing and signing this declaration I certify that:					
• The information that I have provided is true and correct.					
• I have provided the Entity's country tax residency status, include	ding all cou	untries which it is a tax resident and the respective TIN.			
• I will inform you within 30 days of any change in circumstances	which affe	ect the Entity's country tax residency status.			
I consent to the collection, use, storage and disclosure of our p the Foreign Account Tax Compliance Act (FATCA) and the OEC		formation in this Form. Any personal information collected for the purposes of on Reporting Standard (CRS) will be:			
 Used for the purpose of meeting obligations under CRS and implementing the United States legislation known as FATCA 	-	overnmental Agreement (IGA) between Australia and United States			
 Used for other purposes relating to verification of our identity and to review and correct discrepancies in the information provided and recorded. 					
I confirm that I have read Australian Unity's Privacy Policy and disclosure of our personal information.	understand	nd the terms and conditions surrounding the collection, use, storage and			
• (if signing under a Power of Attorney) I declare that I have not	received no	notice of revocation of that power.			
Signature					
		Capacity (Company Director, Trustee, etc.).			
X					

Representative name

Section 3 Controlling Persons Tax Information

This section is designed to collect the tax status of an individual where this information has not previously been provided, or the individual has been identified as a potential taxpayer of a country other than Australia or to provide updated information about your tax residency.

Please complete for each Controlling Persons

PLEASE NOTE: You may be treated as being a non-Australian taxpayer if the requested information is not provided.

Step 3.1 Personal D	etails						
Title	Mr Mrs	Ms Miss			Date of birth	DD/MM/YY	YY
Surname							
Given name(s)							
Occupation							
Email							
Step 3.2 Residentia	l address (P.O. Box is not a	acceptable)					
Unit						Street number	
Street name							
Suburb					State		
Postcode		Country (if not A	Australia)				
Step 3.3 Tax status							
we are not allowed to Answer both tax resident Are you a tax resident Are you are a tax resident If you are a tax reside of more than one cou A TIN is the number a	give tax advice. lency questions: of Australia? of another Country? ent of a country other than ntry, list all relevant country	Yes Yes Australia, provide ries below.	No No your Tax Identifi	cation Number (TI laws. This is the eq	N) or equivaler	k to a professional tax adviser nt below. If you are a tax resions ex File Number in Australia. If a	dent
1 Country		Т	IN			If no TIN, list reason A, B, or C	
2 Country		Т	IN			If no TIN, list reason A, B, or C	
3 Country		Т	IN			If no TIN, list reason A, B, or C	
Reason B You have no Please expl	y of tax residency does not on the been issued with a TIN. ain why. y of tax residency does not not of more countries, please	require the TIN to I	be disclosed	ge and provide the	additional detail	ile.	

Step 3.4 Declaration and signature

Controlling Person Declaration and Undertakings

- I acknowledge that the information contained in this Form and information regarding the Controlling Person and any Reportable Account(s) may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which I/the Controlling Person may be a tax resident pursuant to international agreements to exchange financial account information.
- I certify that I am the Controlling Person, or I am authorised to sign on behalf the Entity, of all the account(s) held by the Entity Account Holder to which this Form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

- I undertake to advise the recipient within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Step 2.3 of this Form or causes the information contained herein to become incorrect, and to provide the recipient with a suitably updated self-certification and Declaration within 30 days of such change in circumstances.
- I consent to the collection, use, storage and disclosure of our personal information in this Form. Any personal information collected for the purposes of the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard (CRS) will be:
 - Used for the purpose of meeting obligations under CRS and the Intergovernmental Agreement (IGA) between Australia and United States implementing the United States legislation known as FATCA; and
 - Used for other purposes relating to verification of our identity and to review and correct discrepancies in the information provided and recorded.
- I confirm that I have read Australian Unity's Privacy Policy and understand the terms and conditions surrounding the collection, use, storage and disclosure of our personal information.

Signature

X	Capacity
Representative name Date DD/MM/YVVVV	Capacity Note: If you are not the Controlling Person please indicate the capacity (e.g. Director or Senior Managing Officer) in which you are signing the Form. If signing under a Power of Attorney please also attach certified copy of the Power of Attorney.

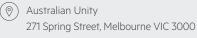


Return by email if you have investment in our Property and Investment products investments@australianunity.com.au



If you are a current Lifeplan Member (or have investments in our Lifeplan products) we please ask that you email this Form to: enquiries@australianunity.com.au

Contact us



australianunity.com.au

Investor Services

investments@australianunity.com.au

13 29 39

1300 856 685

Adviser Services

investments@australianunity.com.au

1800 649 033

1300 856 685

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